



# Hearing the sirens of the early Universe: Primordial Black Holes & Gravitational Waves

---

Bradley J Kavanagh  
GRAPPA, University of Amsterdam

#GW4FP  
12 Nov 2019

# Disclaimer

This talk contains references to mythical creatures, which *may or may not* exist.

For example:

## Sirens



[www.maicar.com](http://www.maicar.com)

## Werewolves



[aaron sims company](http://aaron sims company)

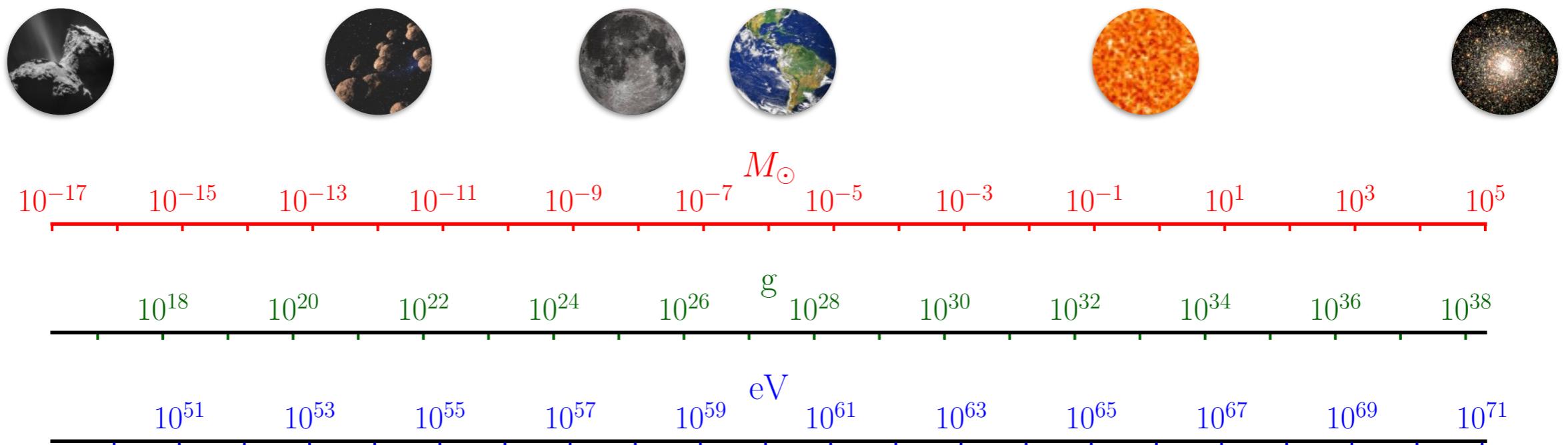
and primordial black holes...

# Primordial Black Holes

Primordial Black Holes (PBHs) could form in the early Universe ( $z \gg 10^8$ ) from large over-densities

Mass roughly given by mass inside horizon at time of formation:

[Green & Liddle, [astro-ph/9901268](#)]

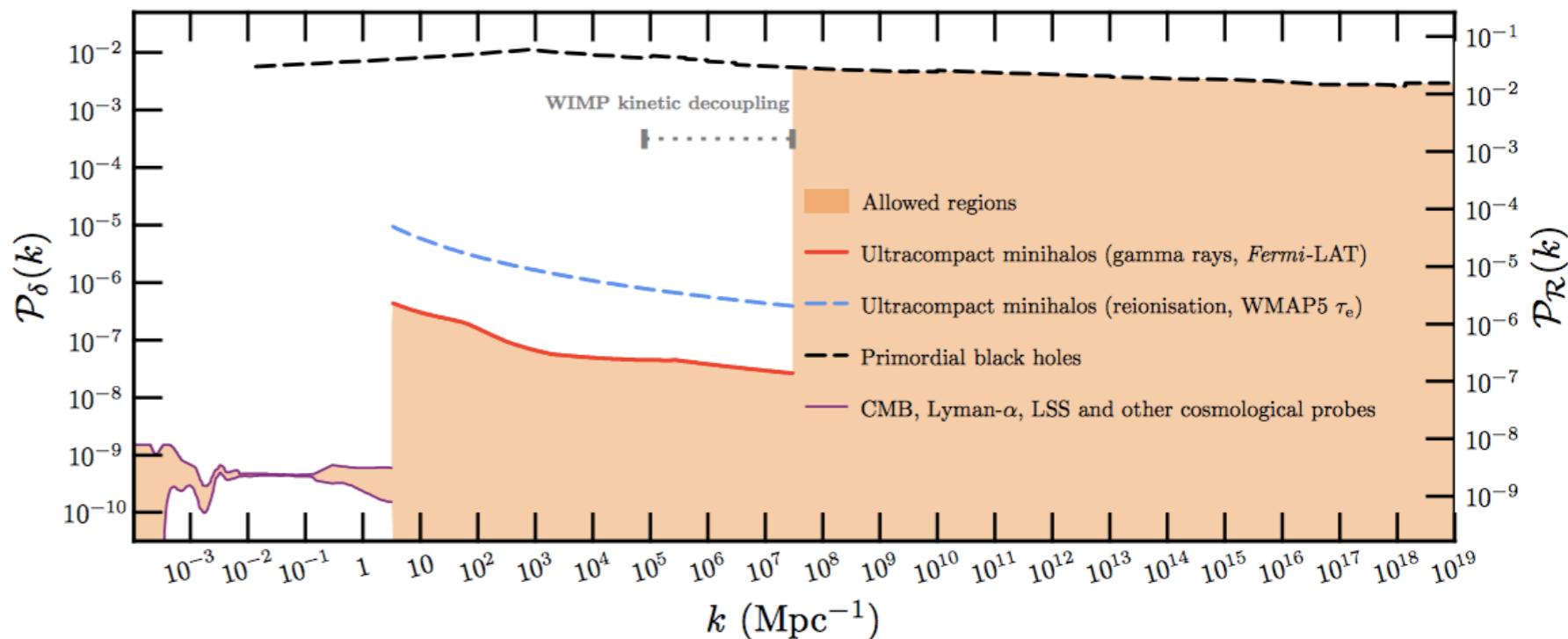


[Zel'dovich & Novikov (1967), Hawking (1971), Carr & Hawking (1974), Carr (1975)]

# PBH formation

[1110.2484]

How can we  
make a PBH?



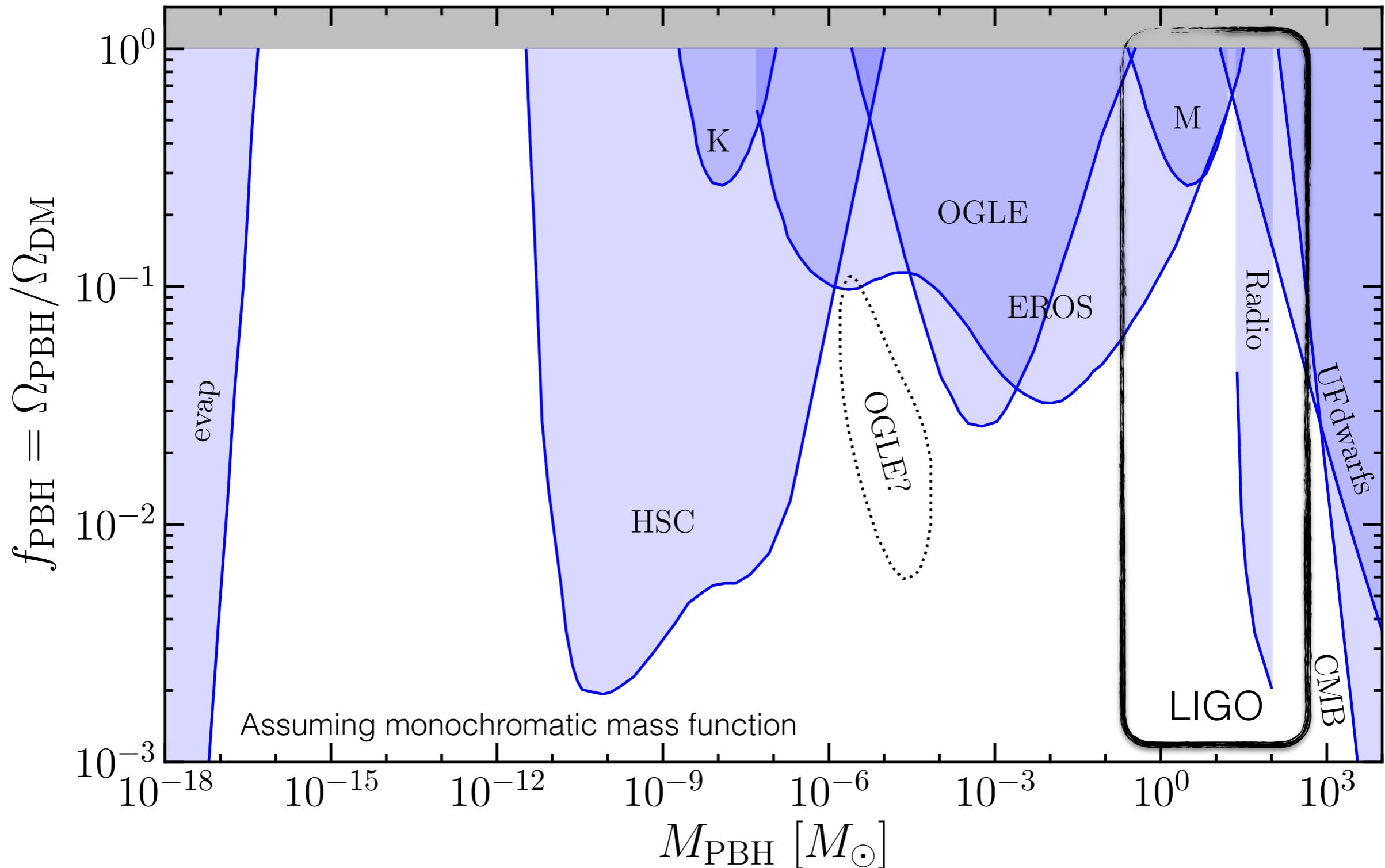
- Enhancement/feature in power spectrum  
[e.g. [astro-ph/9509027](#), [astro-ph/9605094](#), [hep-ph/9710259](#), [1206.4188](#), [1709.05565](#)]
- Cosmic String Loops  
[e.g. [Hawking \(1987\)](#), [Polnarev & Zembowicz \(1991\)](#), [gr-qc/9509012](#)]
- Bubble collisions  
[e.g. [Hawking, Moss & Stewart \(1982\)](#); [La & Steinhardt \(1989\)](#)]

Primordial Black Holes are a probe of the physics of the early Universe:

“**Sirens** of the early Universe” [Green, [1403.1198](#)]

# PBH Constraints

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



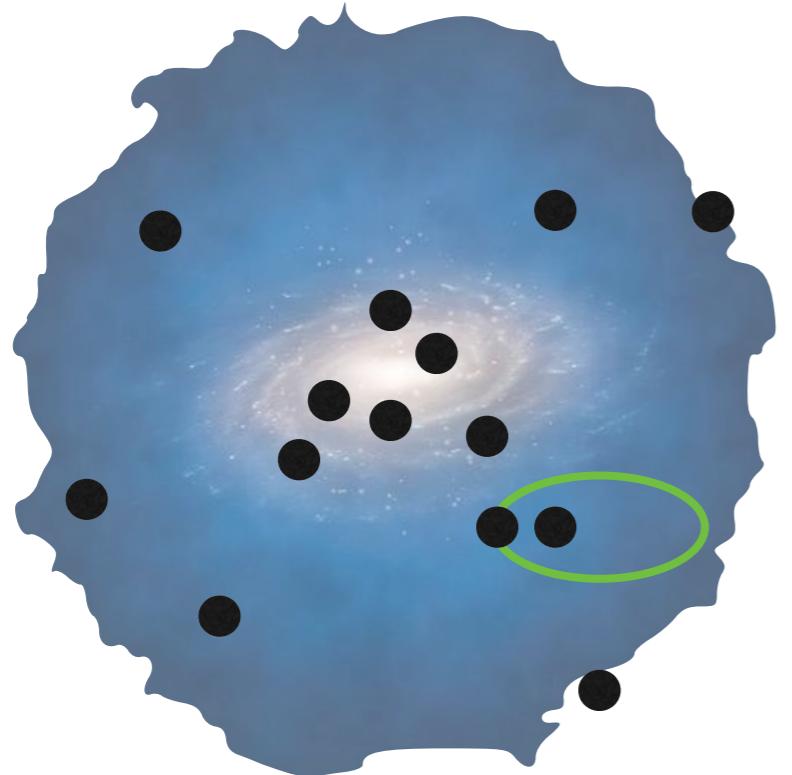
[See e.g. [1607.06077](#), [1801.00808](#), [1806.05195](#)]

[Some dispute: [1712.06574](#), [1906.05950](#)]

# A tale of two binaries

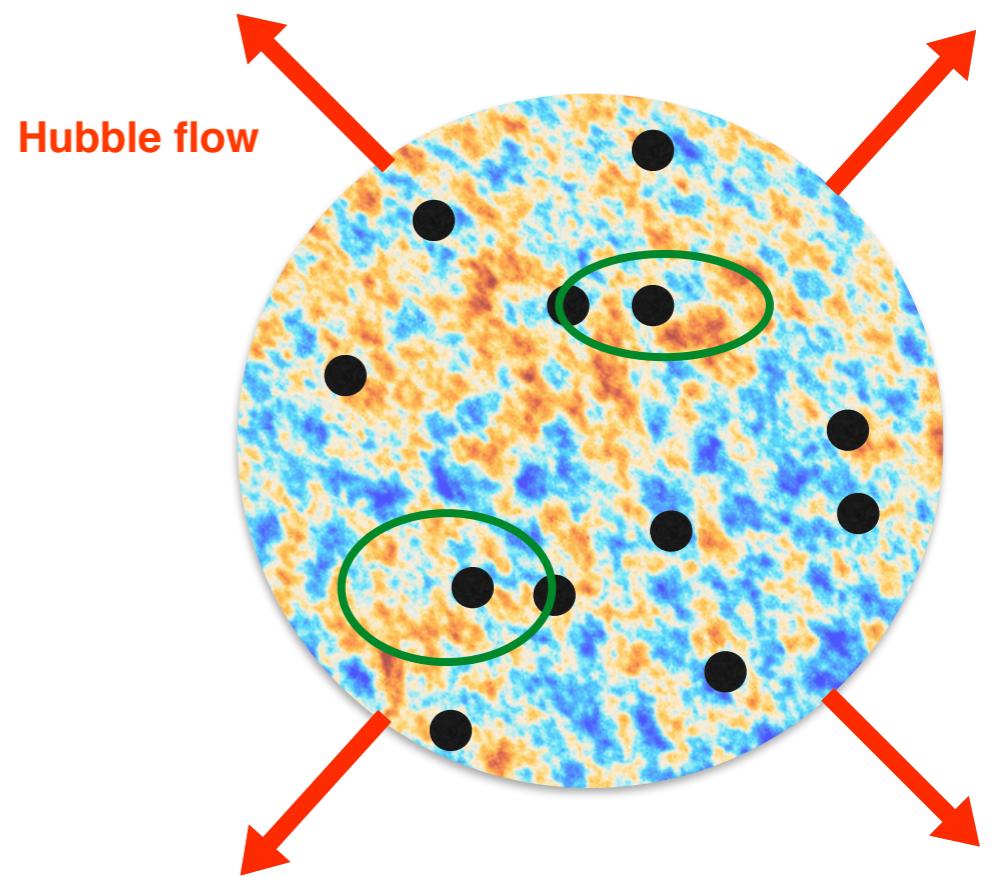
A) Binaries formed after close encounters

[Bird et al., [1603.00464](#)]



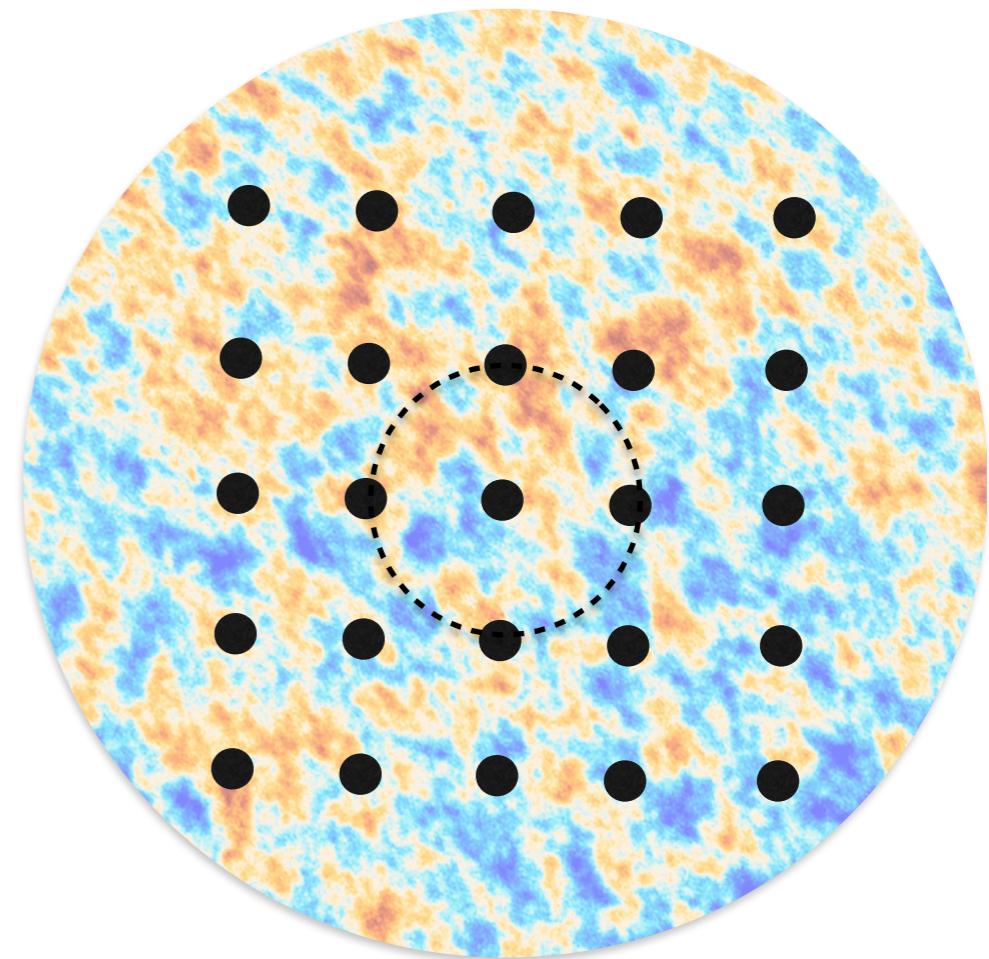
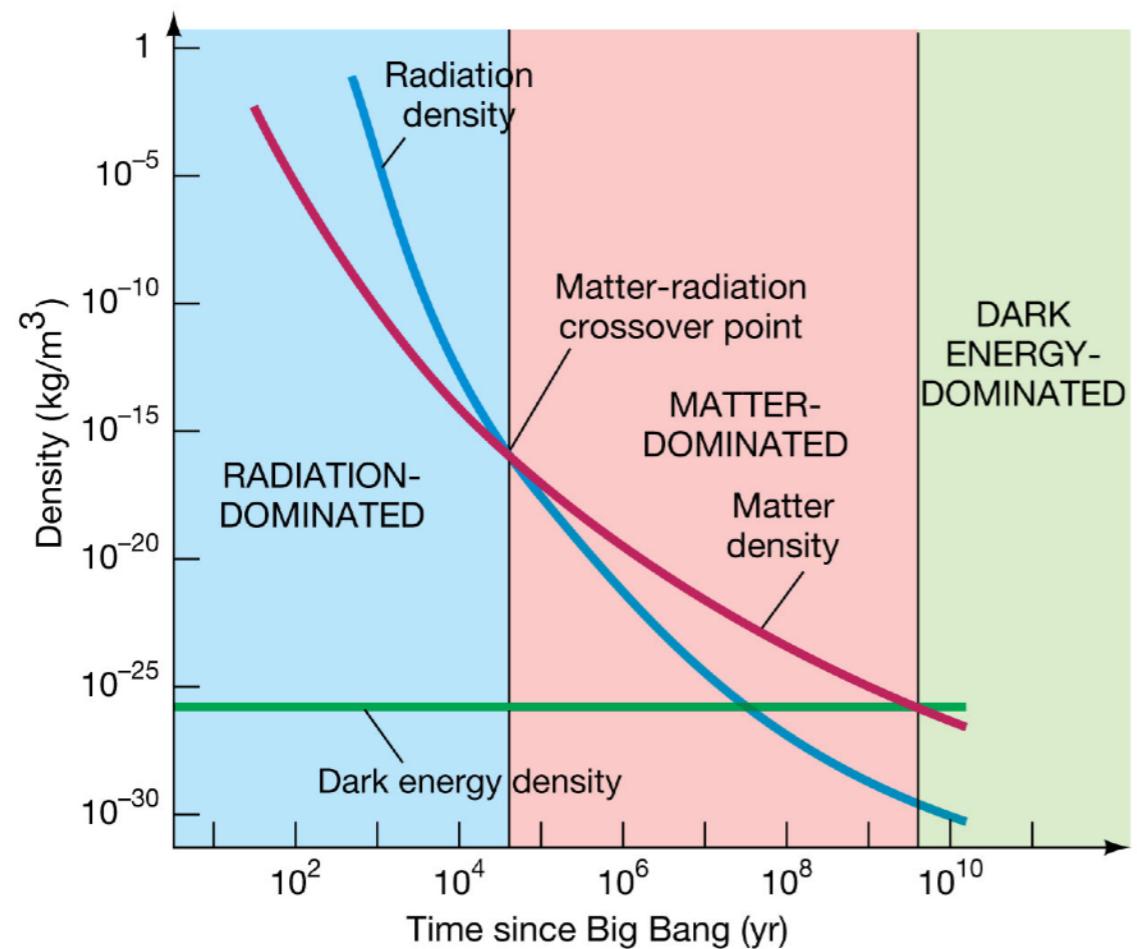
B) Binaries formed in the early Universe

[Nakamura et al, [astro-ph/9708060](#),  
Sasaki et al, [1603.08338](#)]



# Early Universe Binaries

If  $f_{\text{PBH}} \sim 1$ , the relative density of PBHs equals the background radiation density at matter-radiation equality.  
(Almost) all PBHs form binaries...



# PBH Binary Population

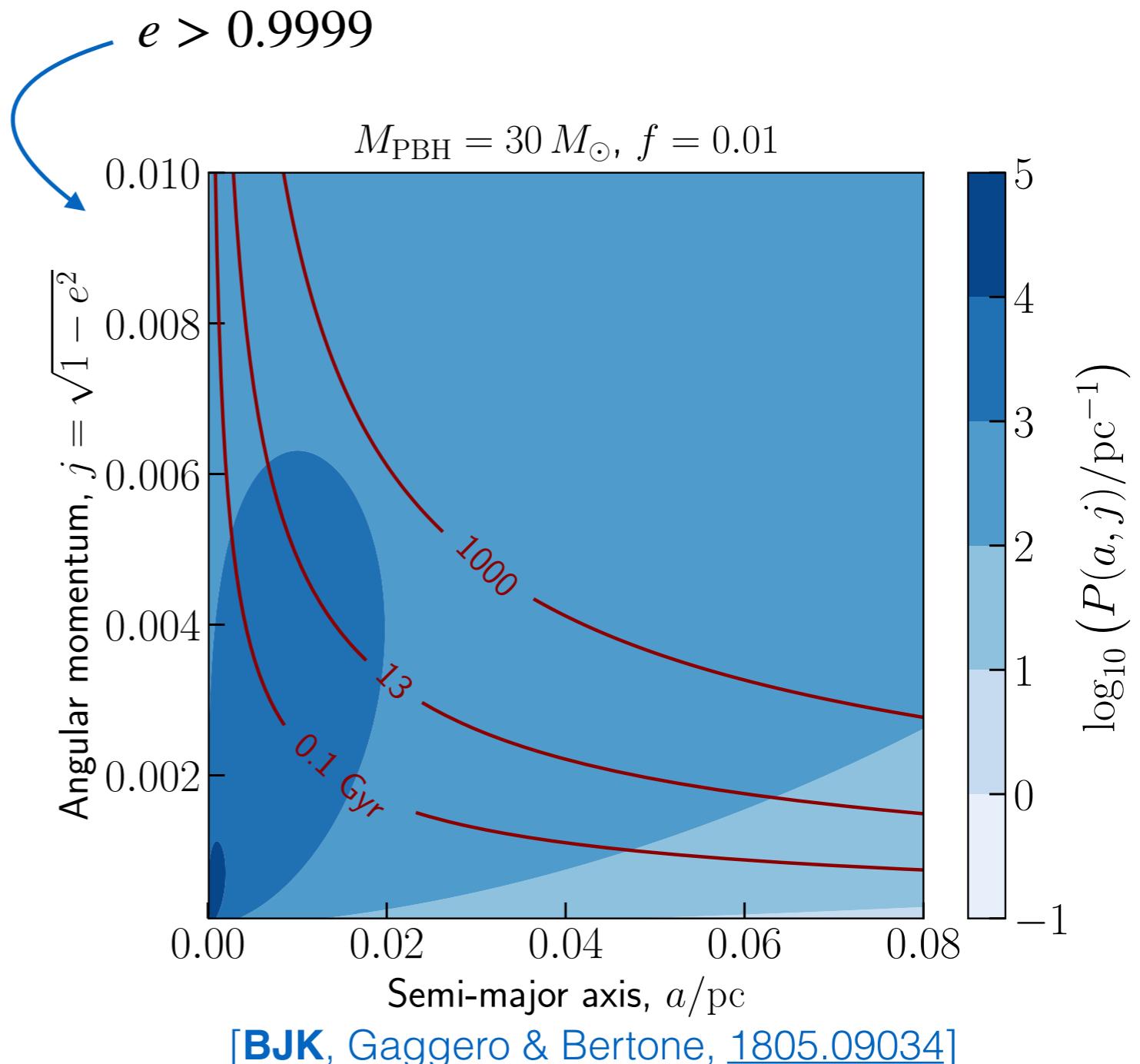
$$j = \sqrt{1 - e^2}$$

Randomly distributed  
(unclustered) PBHs

Angular momentum set by  
torques from smooth density  
perturbations and *all other PBHs*

Close, eccentric binaries  
merge today:

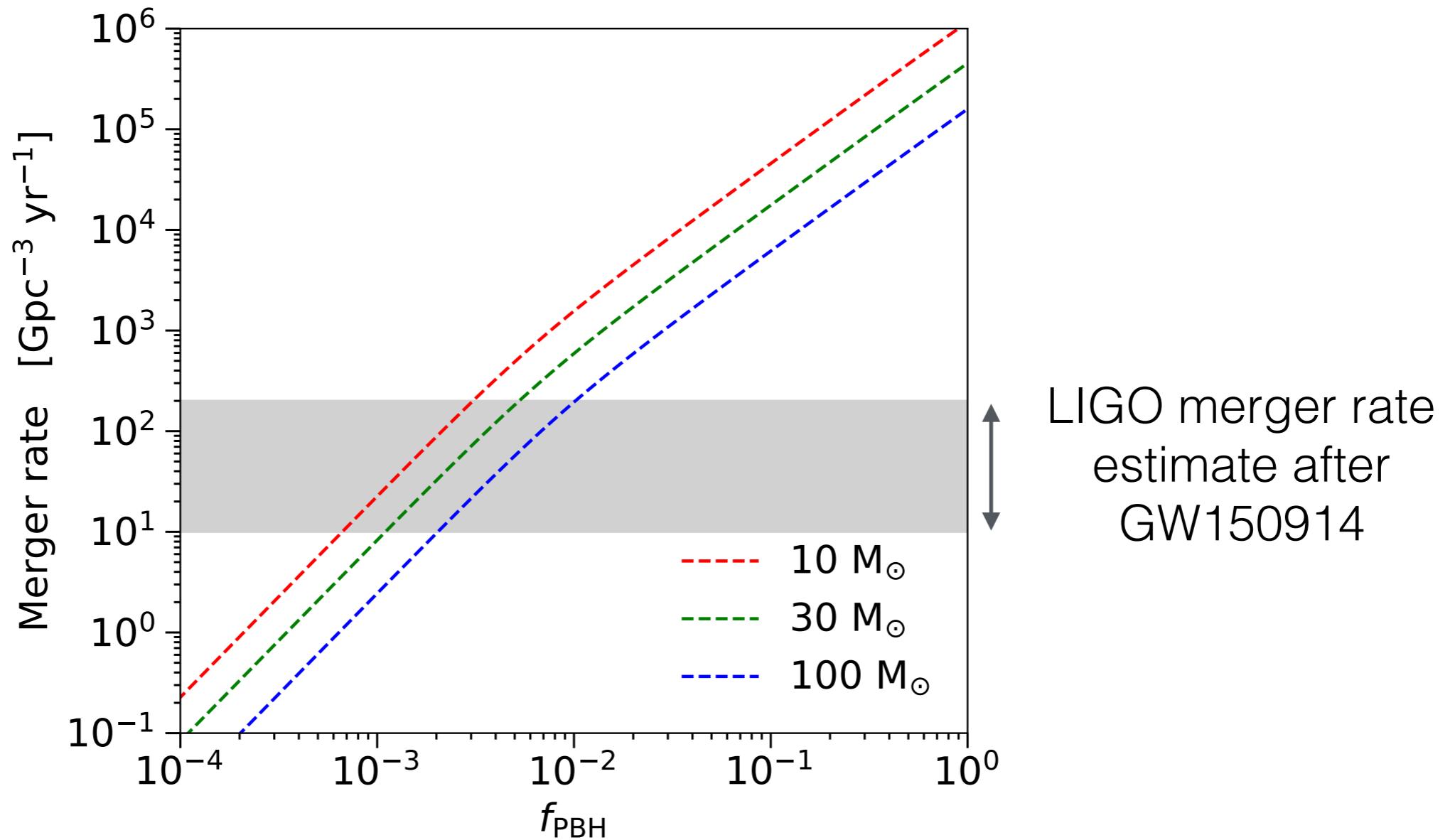
$$t_{\text{merge}} = \frac{3 c^5}{170 G_N^3} \frac{a^4 j^7}{M_{\text{PBH}}^3}$$



[[0909.1738](#), [1606.07437](#), [1707.01480](#), **1709.06576**, [1907.01455](#), and many others.]

# Merger rate estimate

$$\mathcal{R}(t_{\text{merge}}) = \frac{1}{2} n_{\text{PBH}} P_{\text{binary}} P(t_{\text{merge}})$$



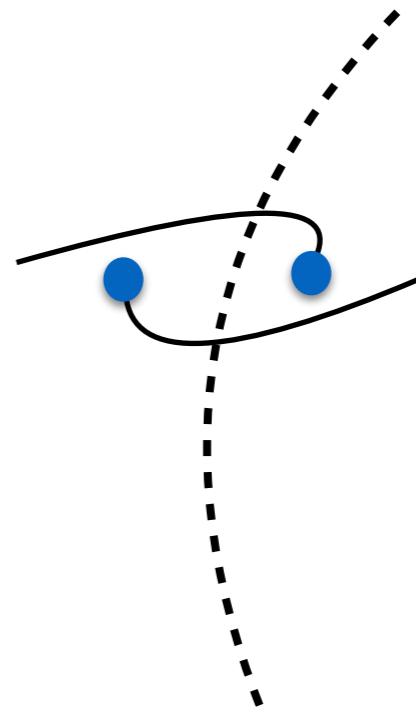
Solar mass PBHs should only be a sub-dominant (%-level) contribution to the DM density in the Universe

[Ali-Haïmoud et al., [1709.06576](#),  
BJK, Gaggero & Bertone, [1805.09034](#)]

# Caveats

---

- Survival
- Clustering
- Baryons
- Dark Matter



Do these binaries survive for the age of the Universe?

Smooth density perturbations and close encounters  
are unlikely to disrupt the binaries

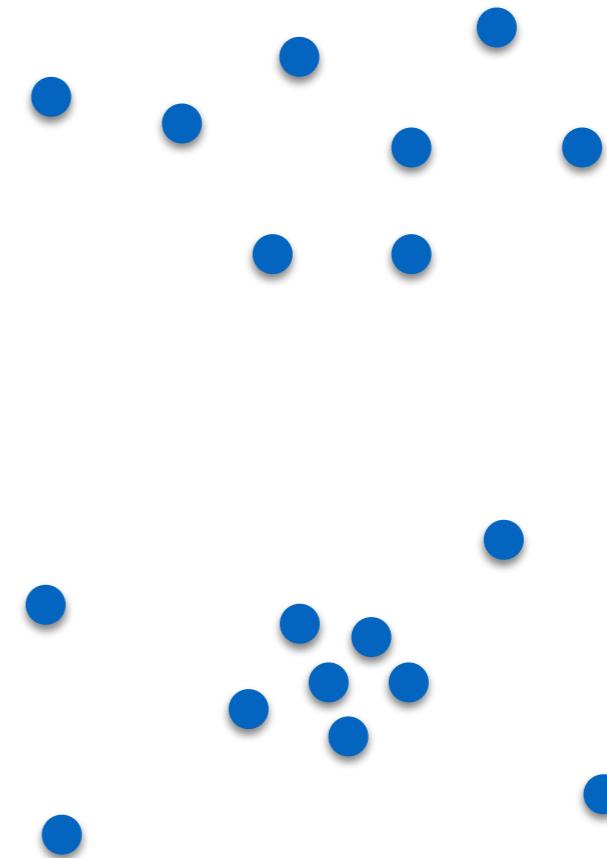
$$a \lesssim 10^{-2} \text{ pc}$$

[\[1709.06576, 1908.09752\]](#)

# Caveats

---

- Survival
- Clustering
- Baryons
- Dark Matter



How does the distribution of PBHs affect the merger rate?

PBHs may cluster (depending on how they are formed) - this could substantially increase the merger rate

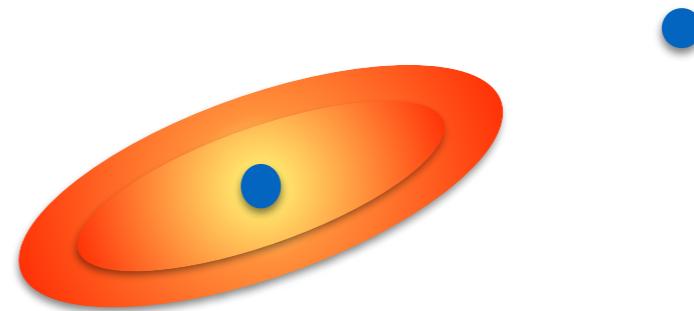
**[This could be a good topic for the discussion session.]**

[\[1805.05912\]](#), [\[1807.02084\]](#), [\[1808.05910\]](#), [\[1910.06077\]](#) and others]

# Caveats

---

- Survival
- Clustering
- Baryons
- Dark Matter



Does baryonic accretion disrupt the binary?

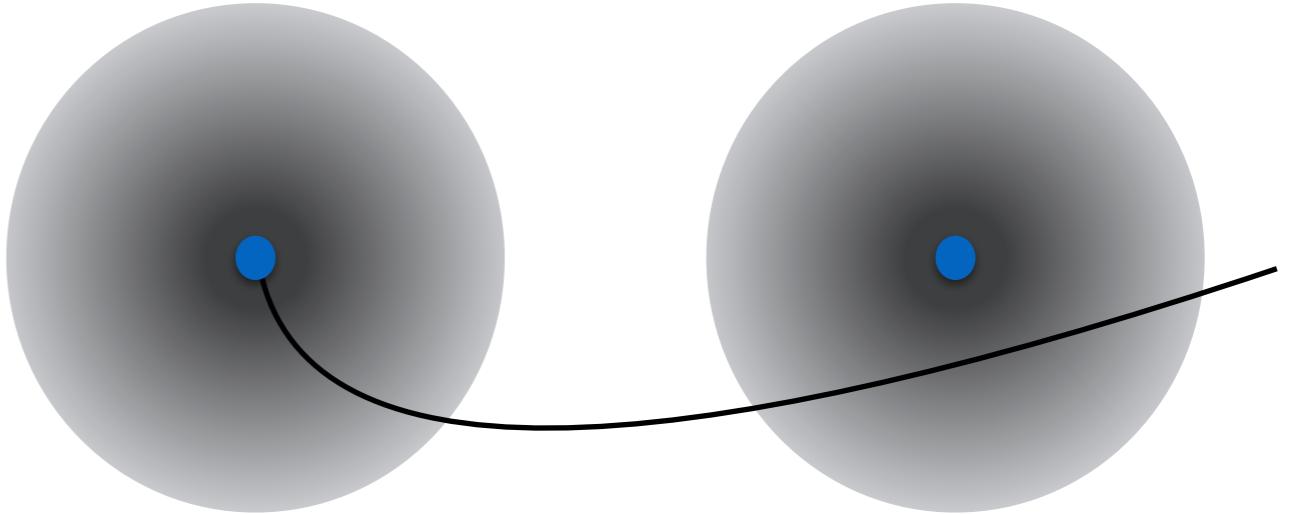
Some simulations have been performed, but the effects are still unclear (especially for highly eccentric binaries)...

[[astro-ph/0607467](#), [0909.1738](#), [0805.3408](#), [1703.03913](#)]

# Caveats

---

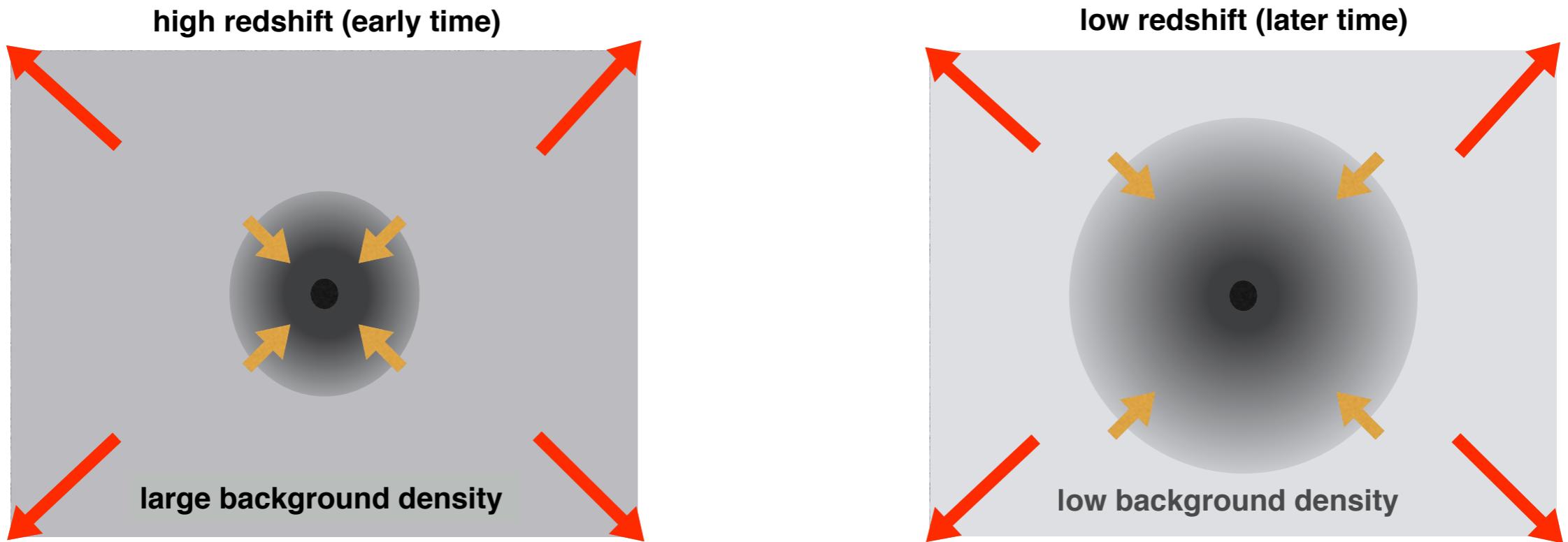
- Survival
- Clustering
- Baryons
- **Dark Matter**



Do *local* Dark Matter halos disrupt PBH binaries?

# Black Holes' Dark Dress

PBHs seed the formation of 'local' DM halos:



$$R_{\text{tr}}(z) = 0.0063 \left( \frac{M_{\text{PBH}}}{M_{\odot}} \right) \left( \frac{1 + z_{\text{eq}}}{1 + z} \right) \text{ pc}$$

$$\rho(r) \propto r^{-9/4}$$

By matter-radiation equality,  $M_{\text{halo}} \sim M_{\text{PBH}}$

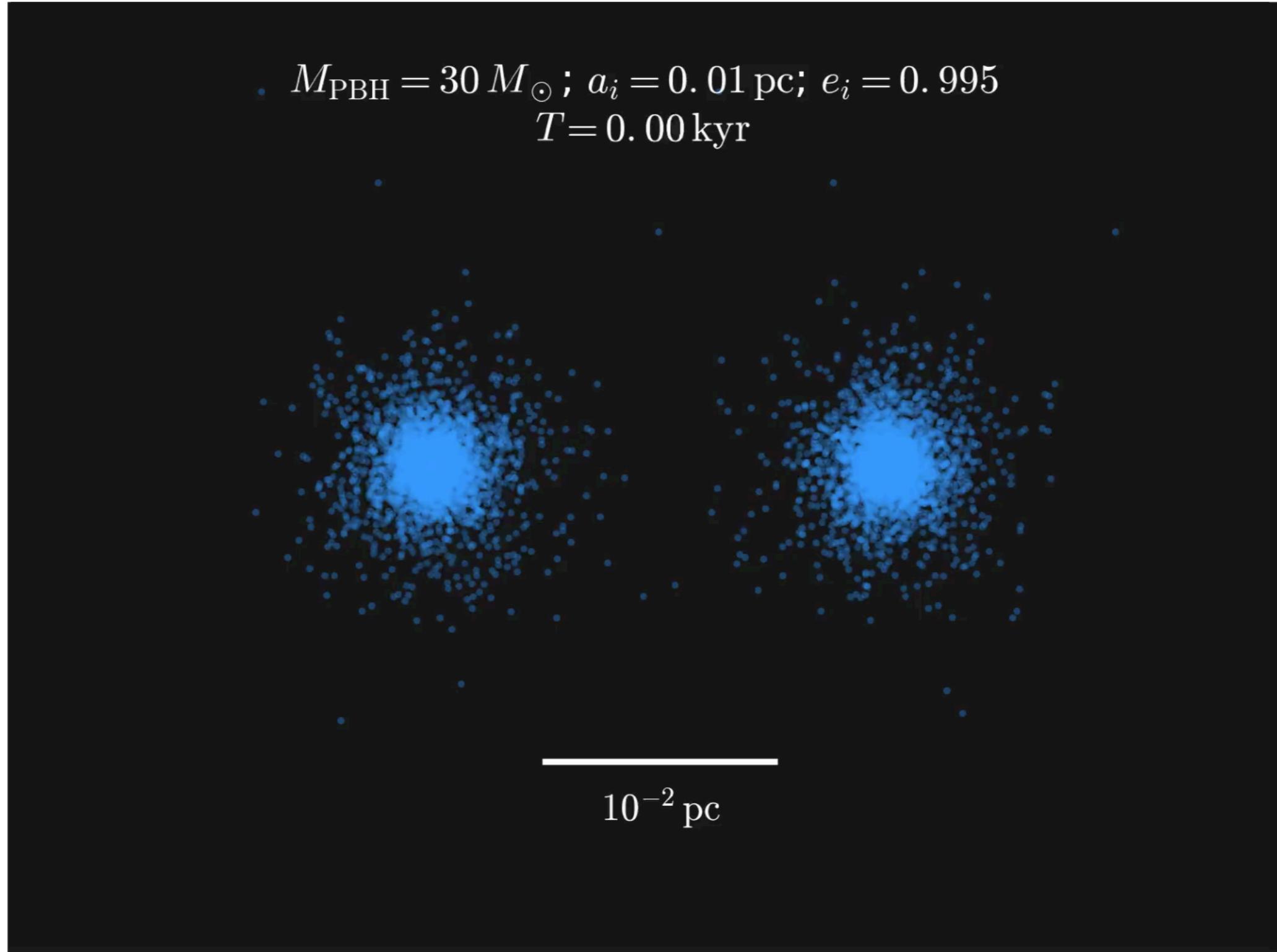
[[Bertschinger \(1985\)](#)]  
[[0706.0864](#), [1901.08528](#)]

Slide shamelessly ripped off from Daniele Gaggero

# Simulations

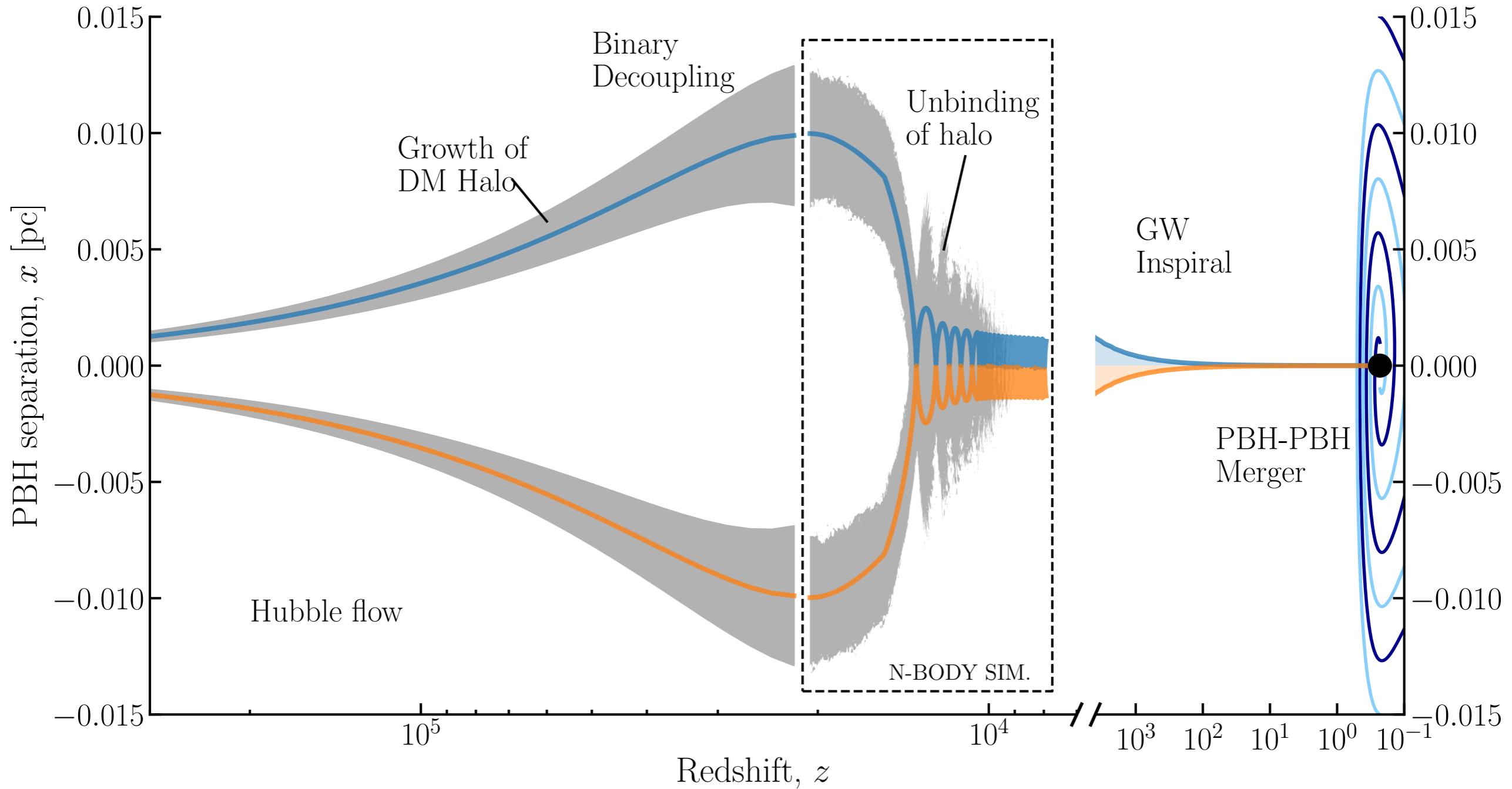
Use GADGET-2 as a pure N-body solver:

[Springel, [astro-ph/0505010](#)]



Movies at [tinyurl.com/BlackHolesDarkDress](http://tinyurl.com/BlackHolesDarkDress)

# Life of a ‘dressed’ PBH binary



$$a_i = 0.01 \text{ pc}$$

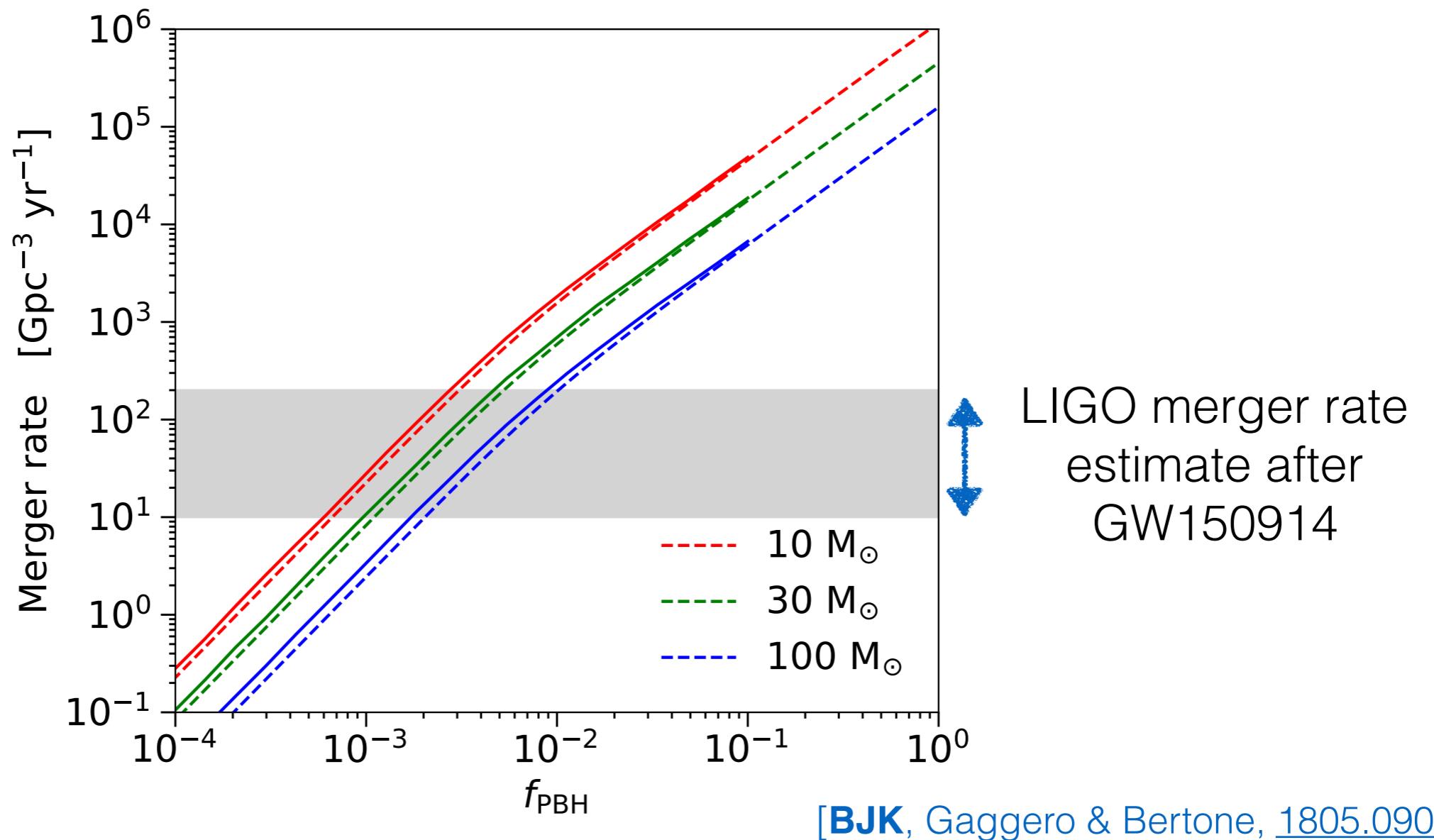
$$e_i = 0.995$$

# Final Merger Rate

$$j = \sqrt{1 - e^2}$$

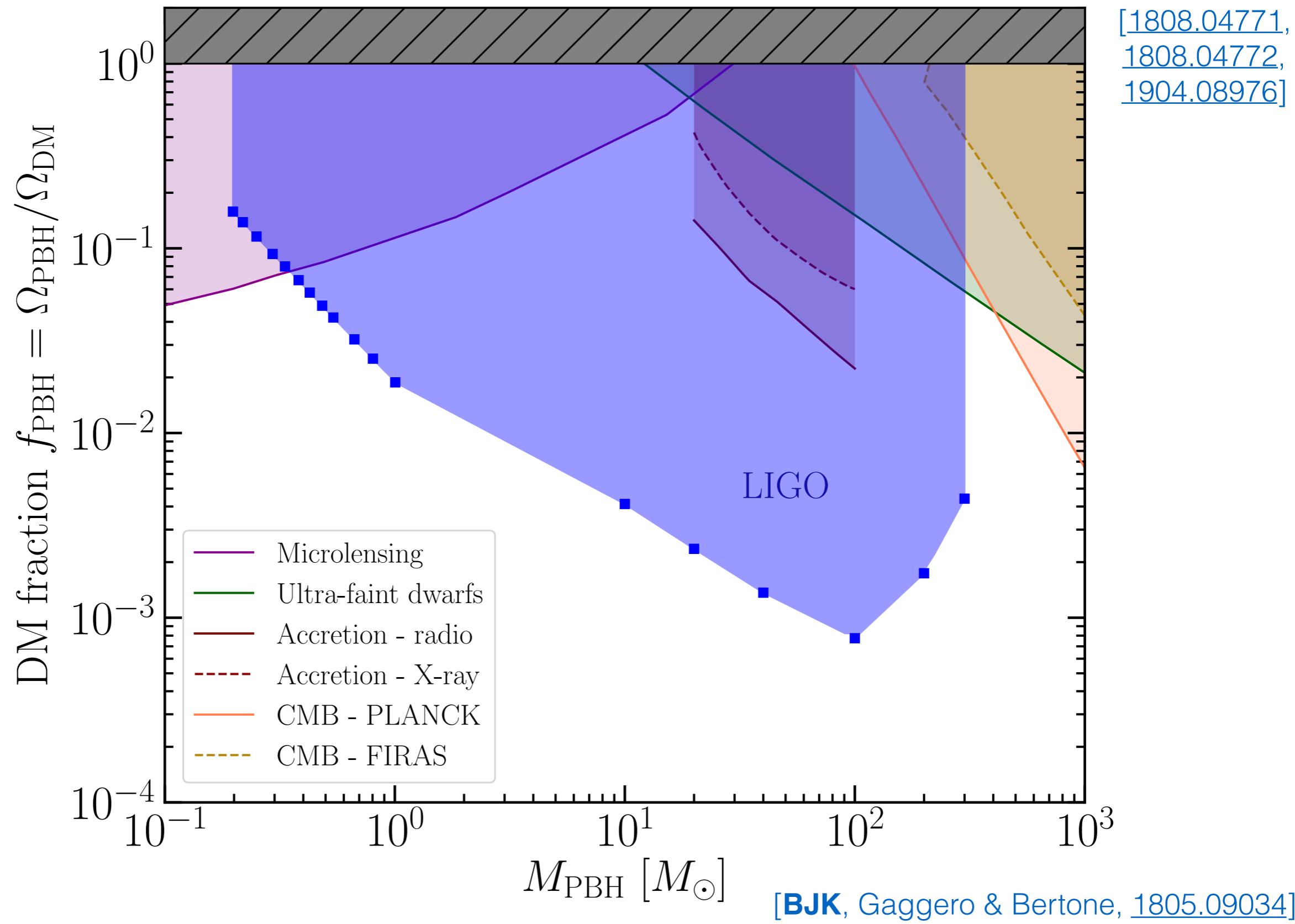
Guided by the simulations, map  $(a_i, e_i) \rightarrow (a_f, e_f)$

Merger time  $t_{\text{merge}} = \frac{3c^5}{170G_N^3} \frac{a^4 j^7}{M_{\text{PBH}}^3}$  is almost conserved:  $t_f = \sqrt{\frac{a_i}{a_f}} t_i$



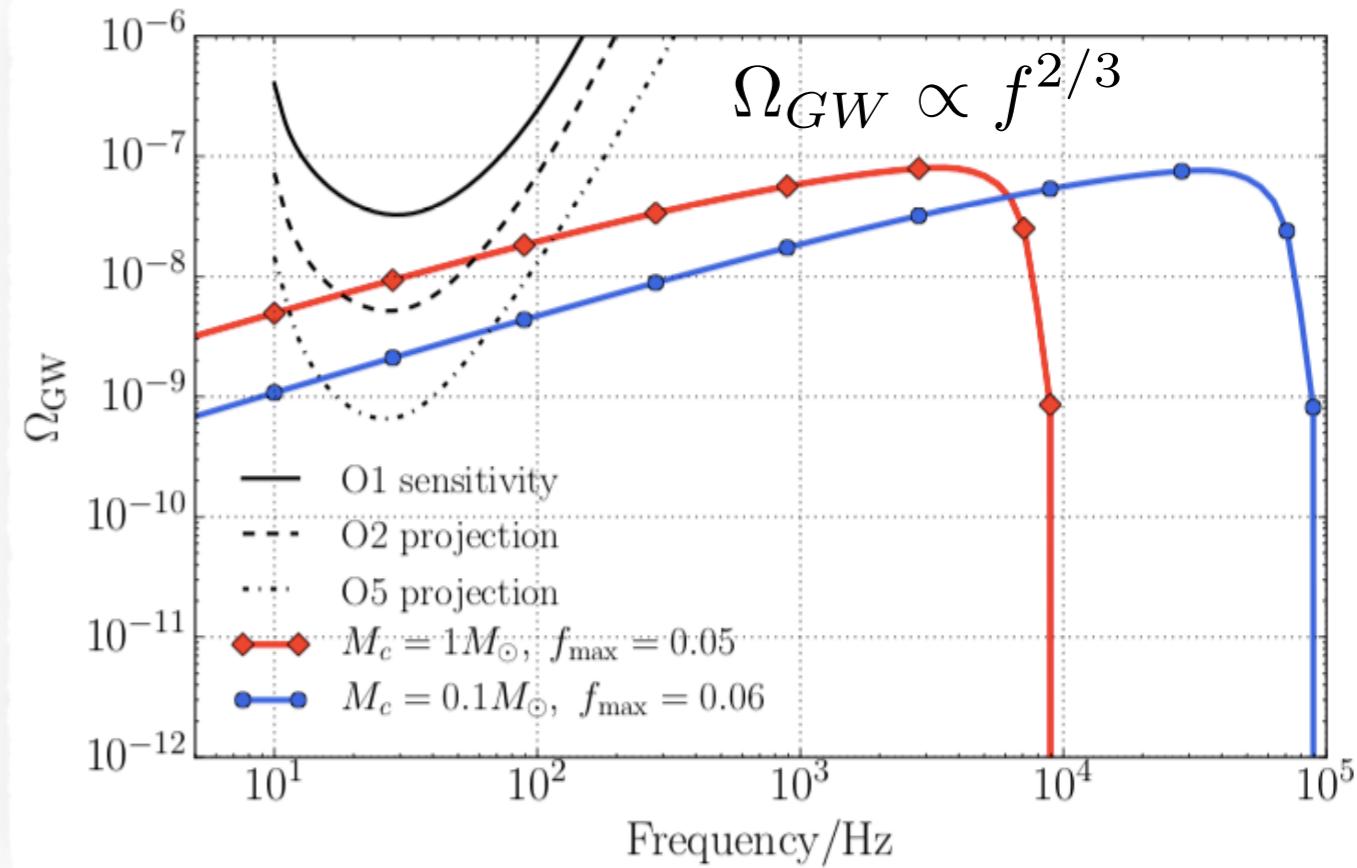
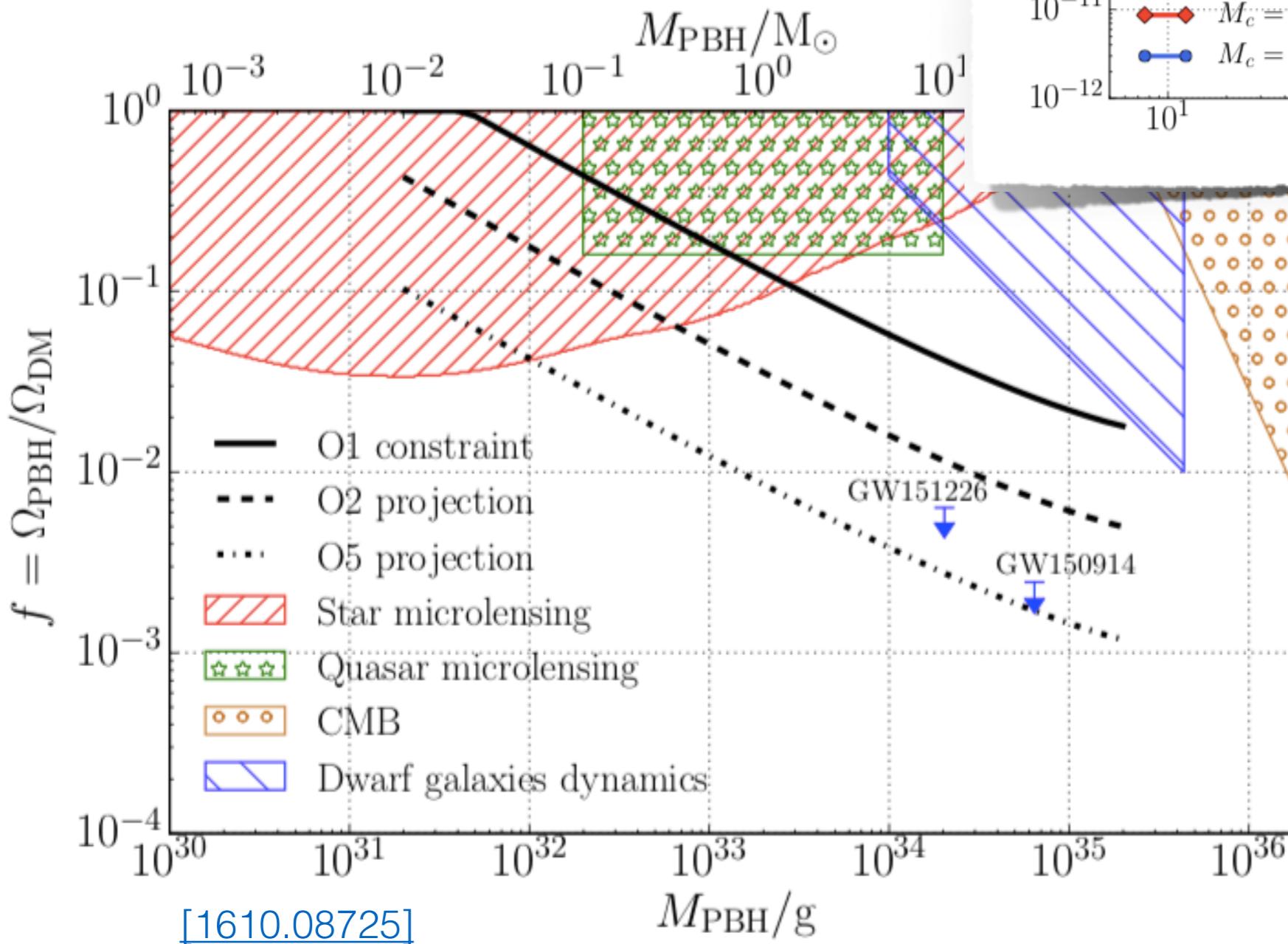
# Limits from LIGO mergers

+ more recent sub-solar limits from LIGO



# Stochastic Background

Background from PBH binary mergers over all of cosmic time



[See also:  
[1610.08479](#),  
[1903.02886](#),  
[1904.02396](#)]

# Discovery prospects

## GW detection of sub-solar mass BHs

(LIGO O3,  
now!)

## GW detection of high redshift BHs ( $z > 40$ )

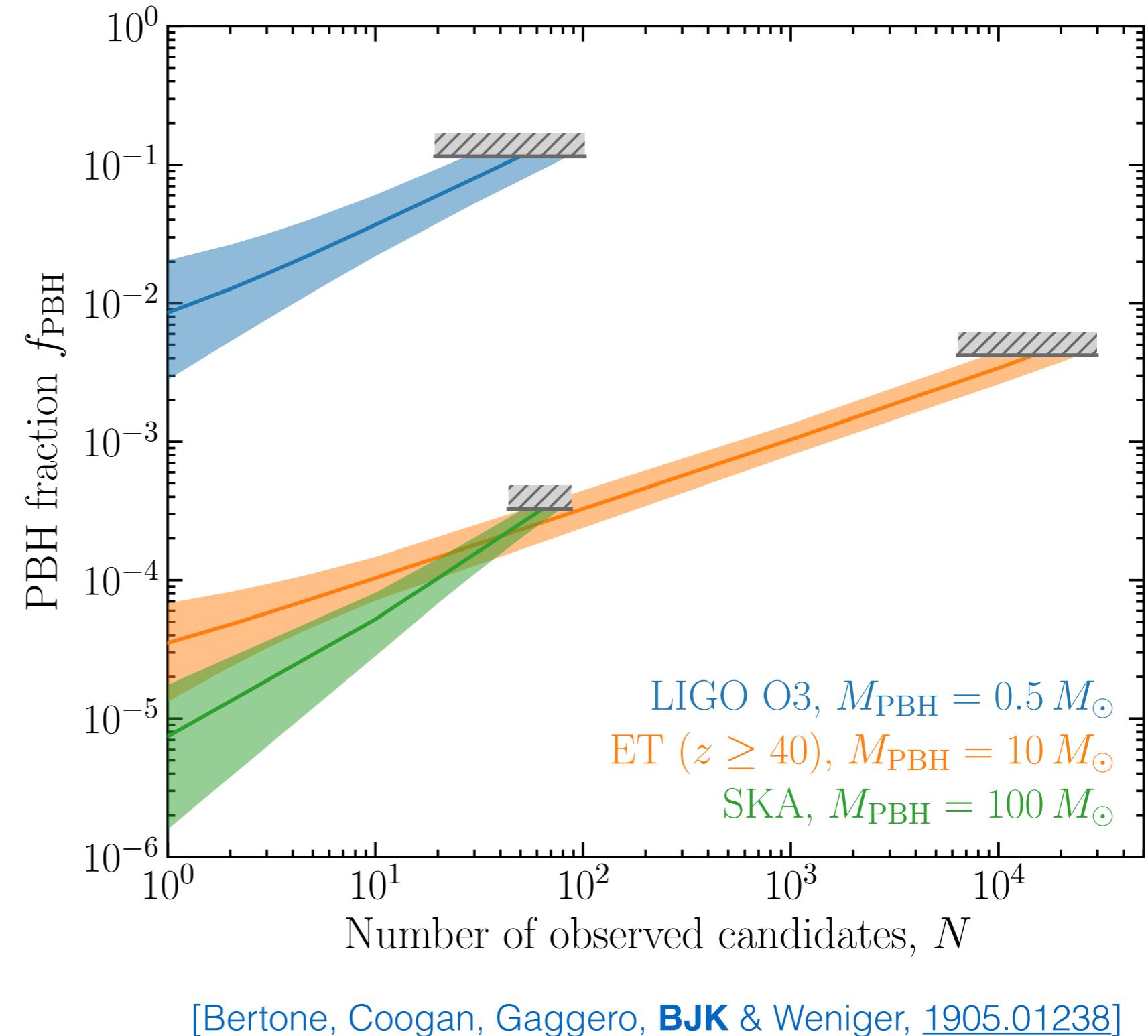
(Einstein Telescope,  
mid-late 2020s)

[1708.07380]

## Radio detection of accreting galactic PBHs

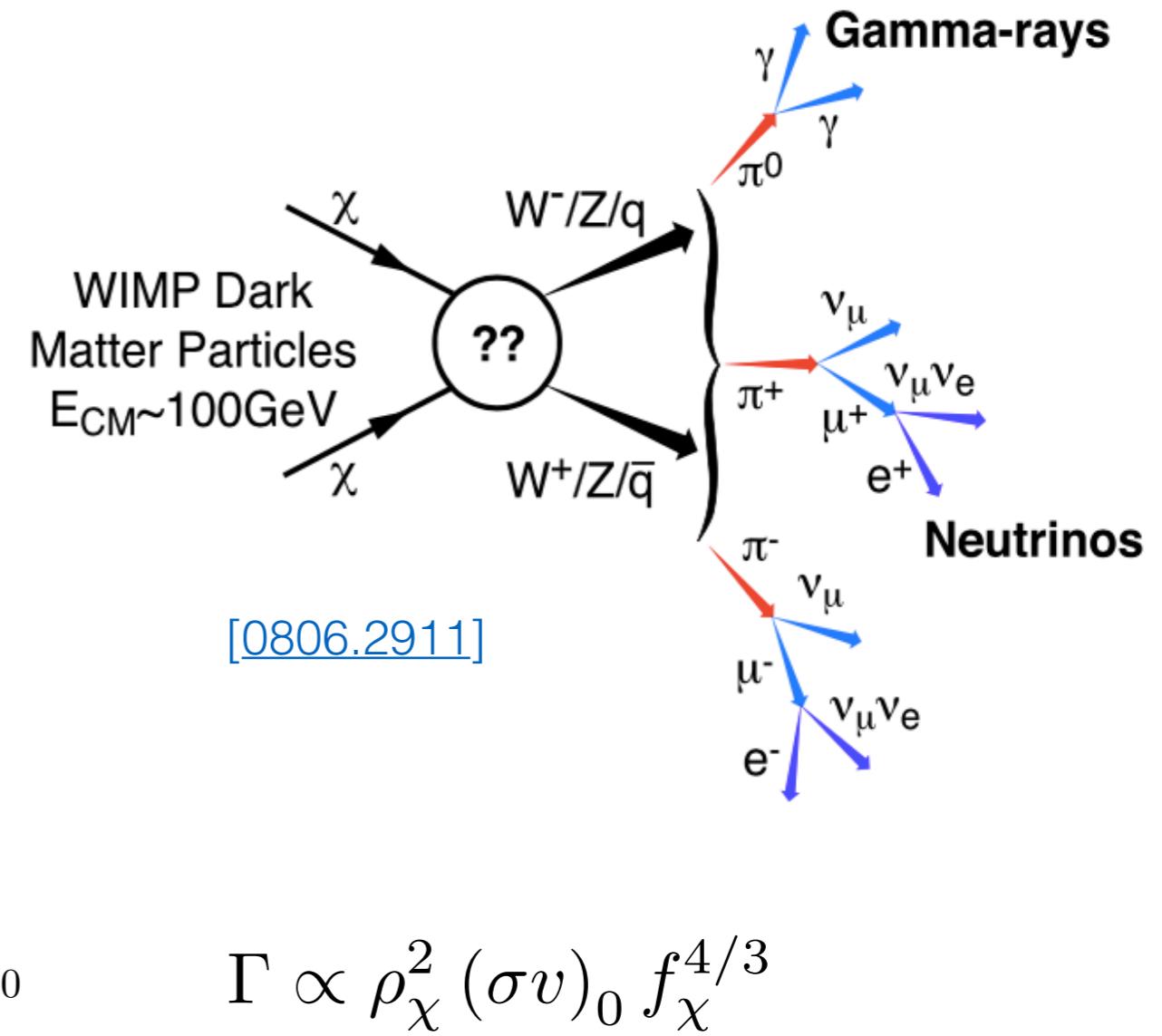
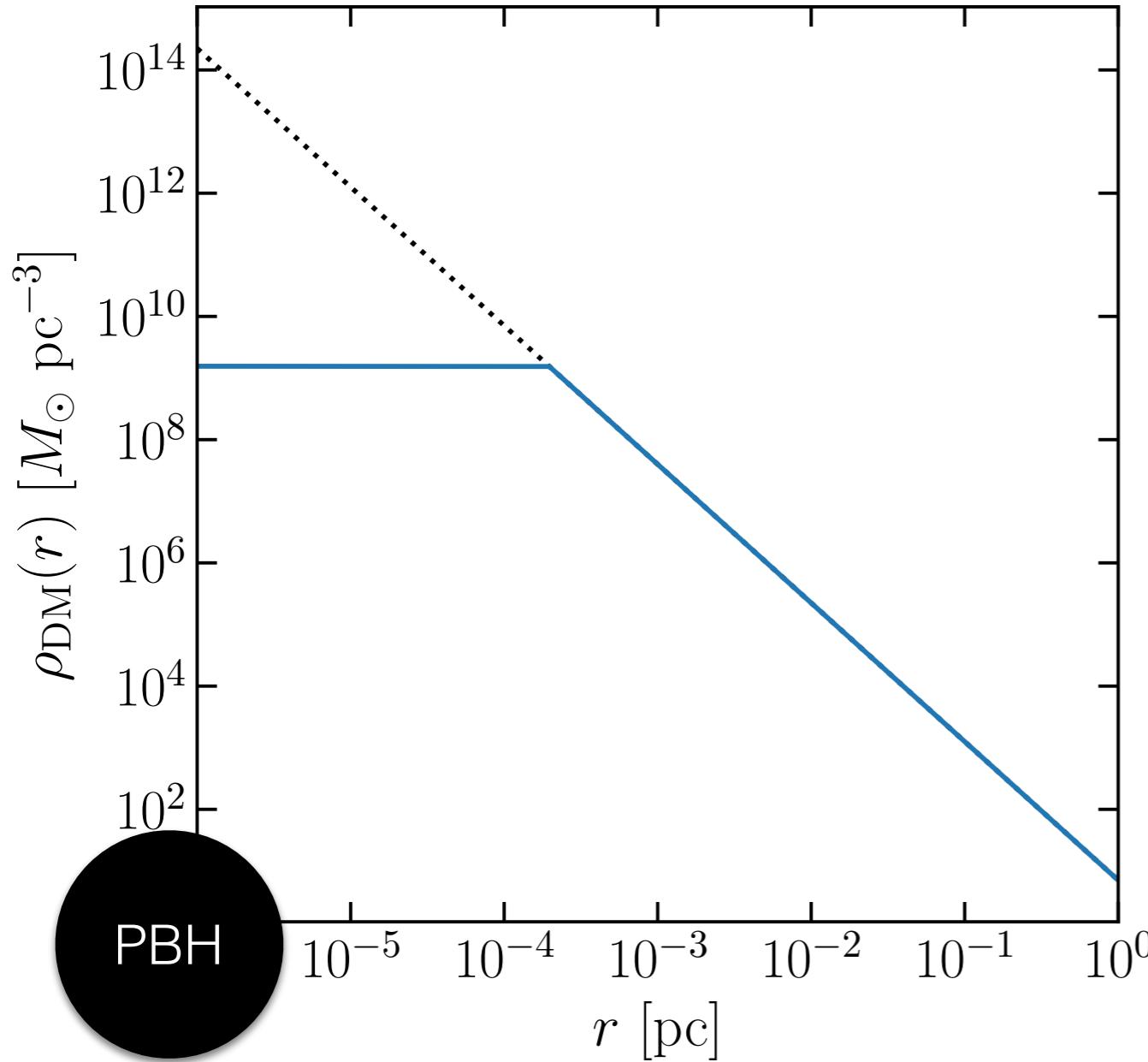
(Square Kilometre Array,  
late 2020s)

[1812.07967]



# WIMP annihilation

If the DM is a Weakly Interacting Massive Particle (WIMP), it can self-annihilate:



PBH population would act as bright *gamma-ray sources*

[1003.3466]

# Werewolves

---

Werewolves: humans with the ability to shapeshift into a wolf, which are notoriously hard to kill

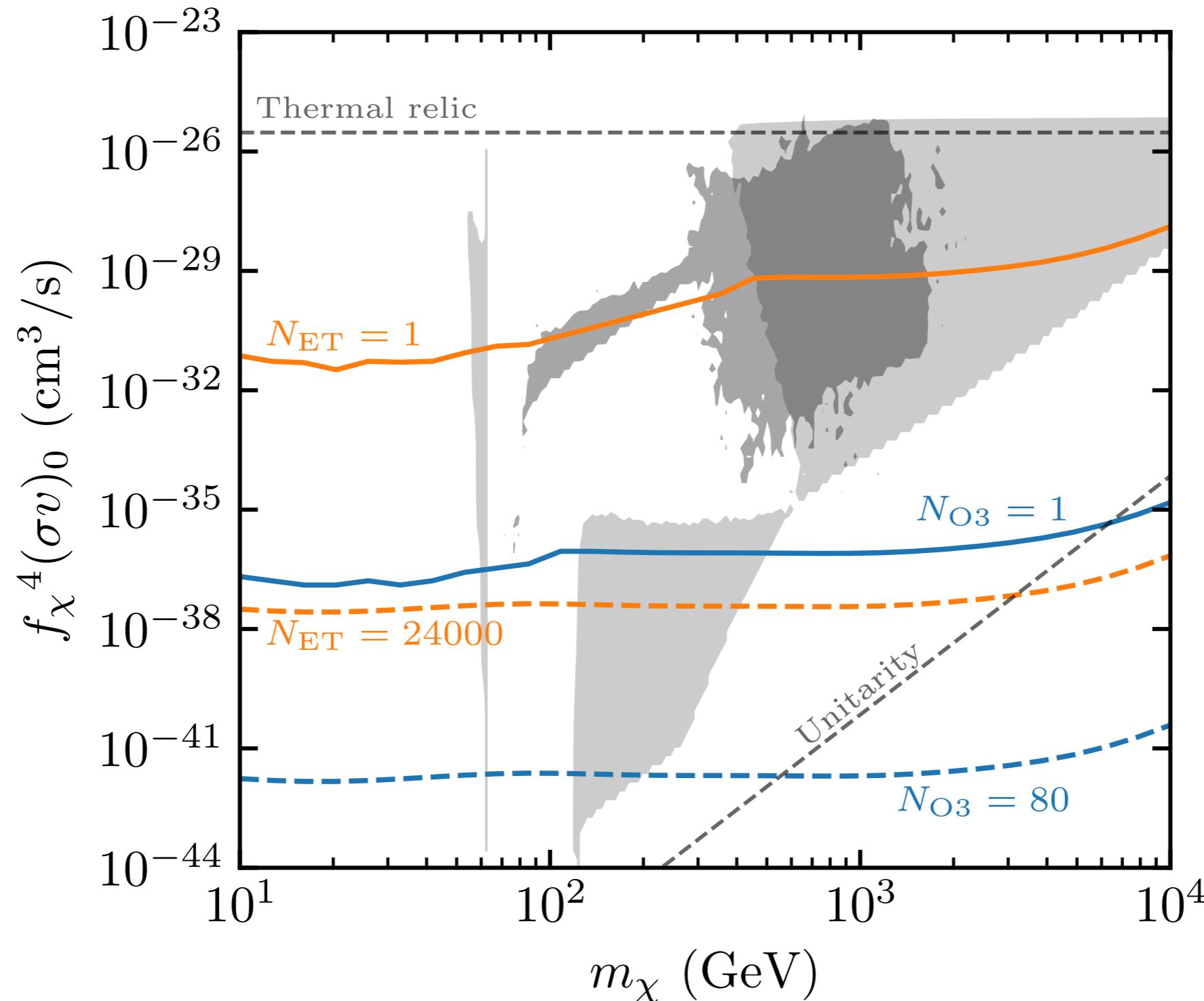


aaronsimscompany

Can be killed with **Silver Bullets**

# Silver Bullets

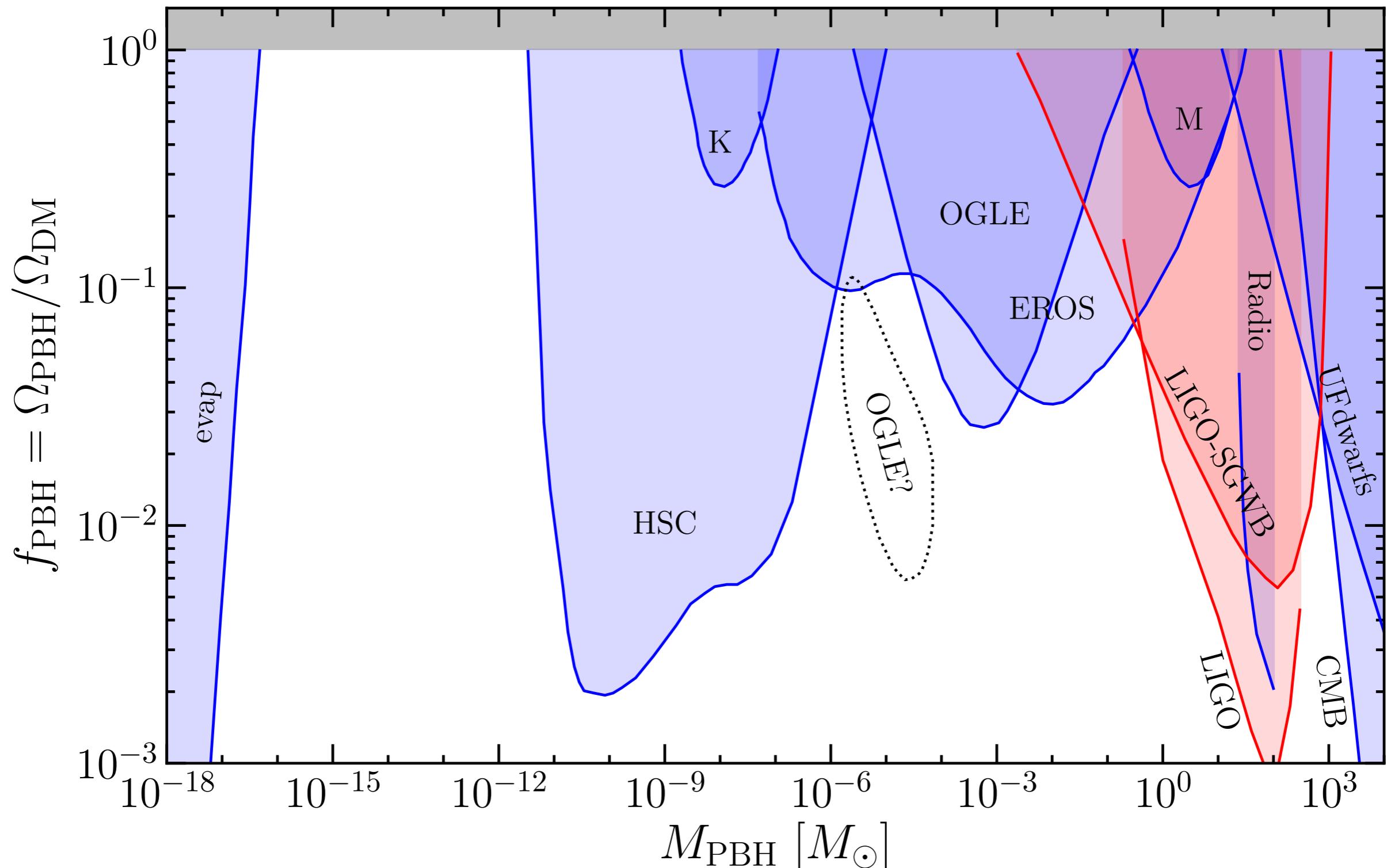
WIMPs: Weakly Interacting Massive Particles,  
which are notoriously hard to kill



Primordial Black Holes as '**Silver Bullets**' for New Physics at the Weak Scale  
[Bertone, Coogan, Gaggero, **BJK** & Weniger, [1905.01238](#)]

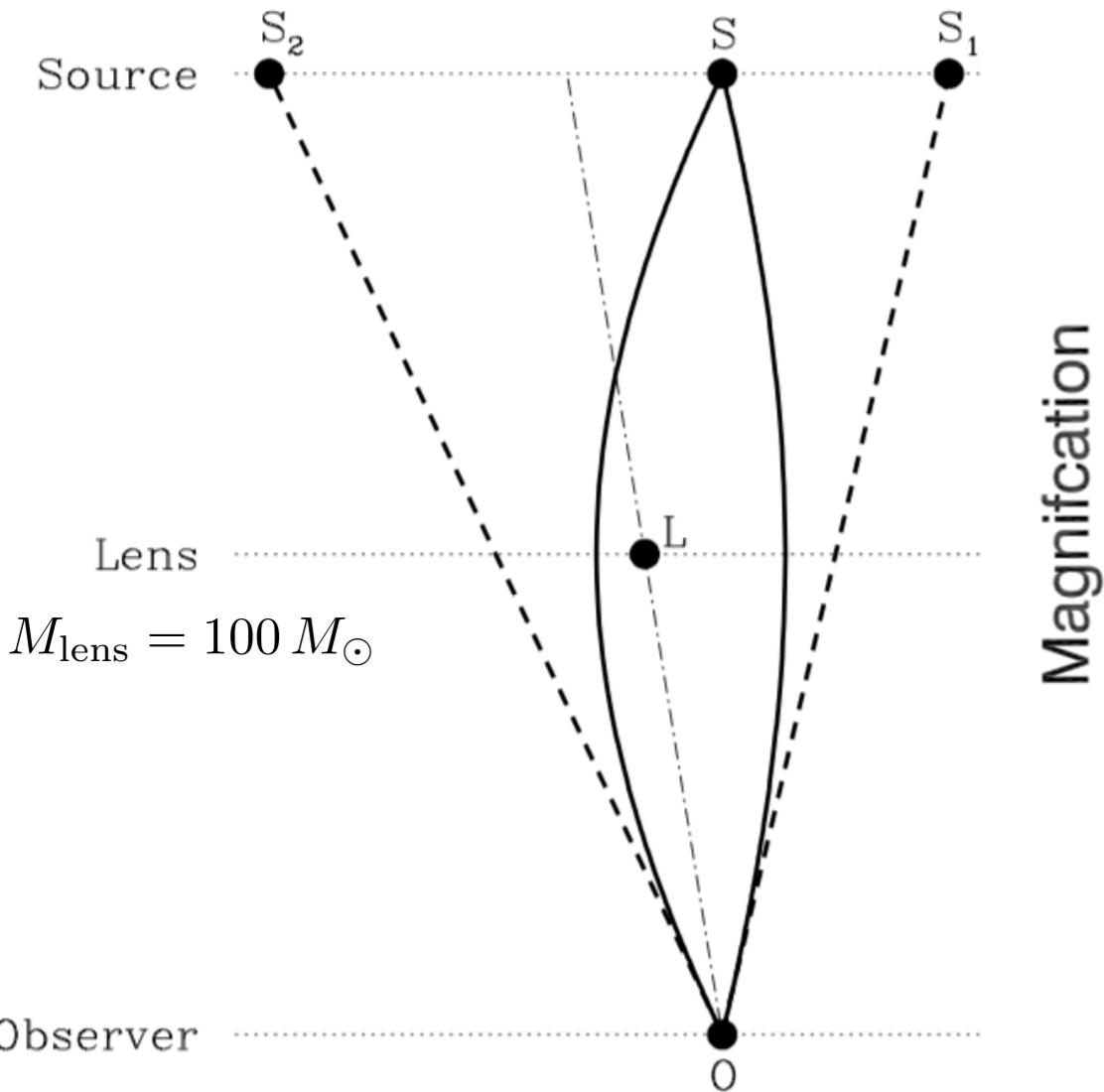
# PBH Constraints

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]

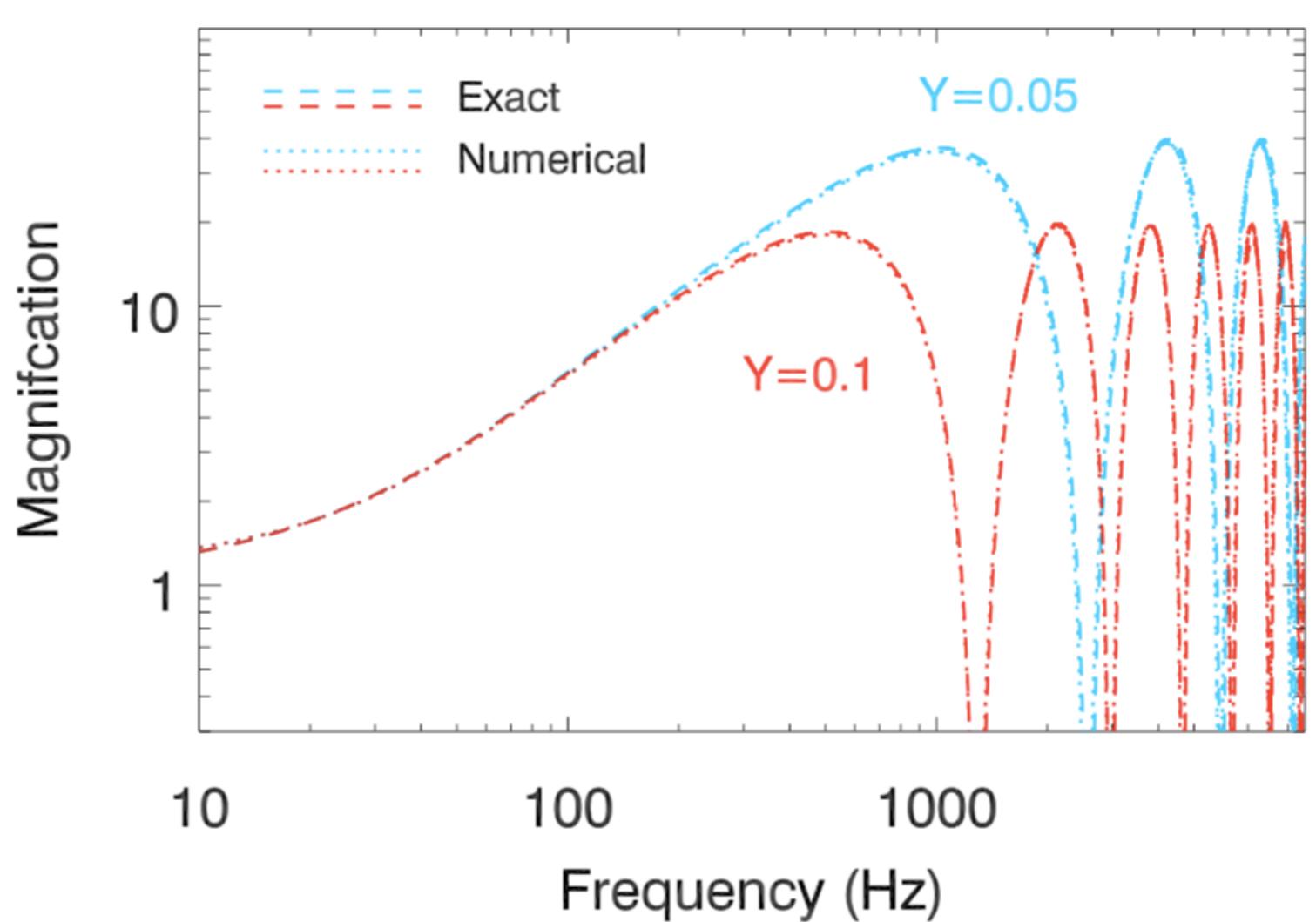


[See e.g. [1607.06077](#), [1801.00808](#), [1806.05195](#)]

# GW Microlensing



[1903.04513]



[astro-ph/9812021]

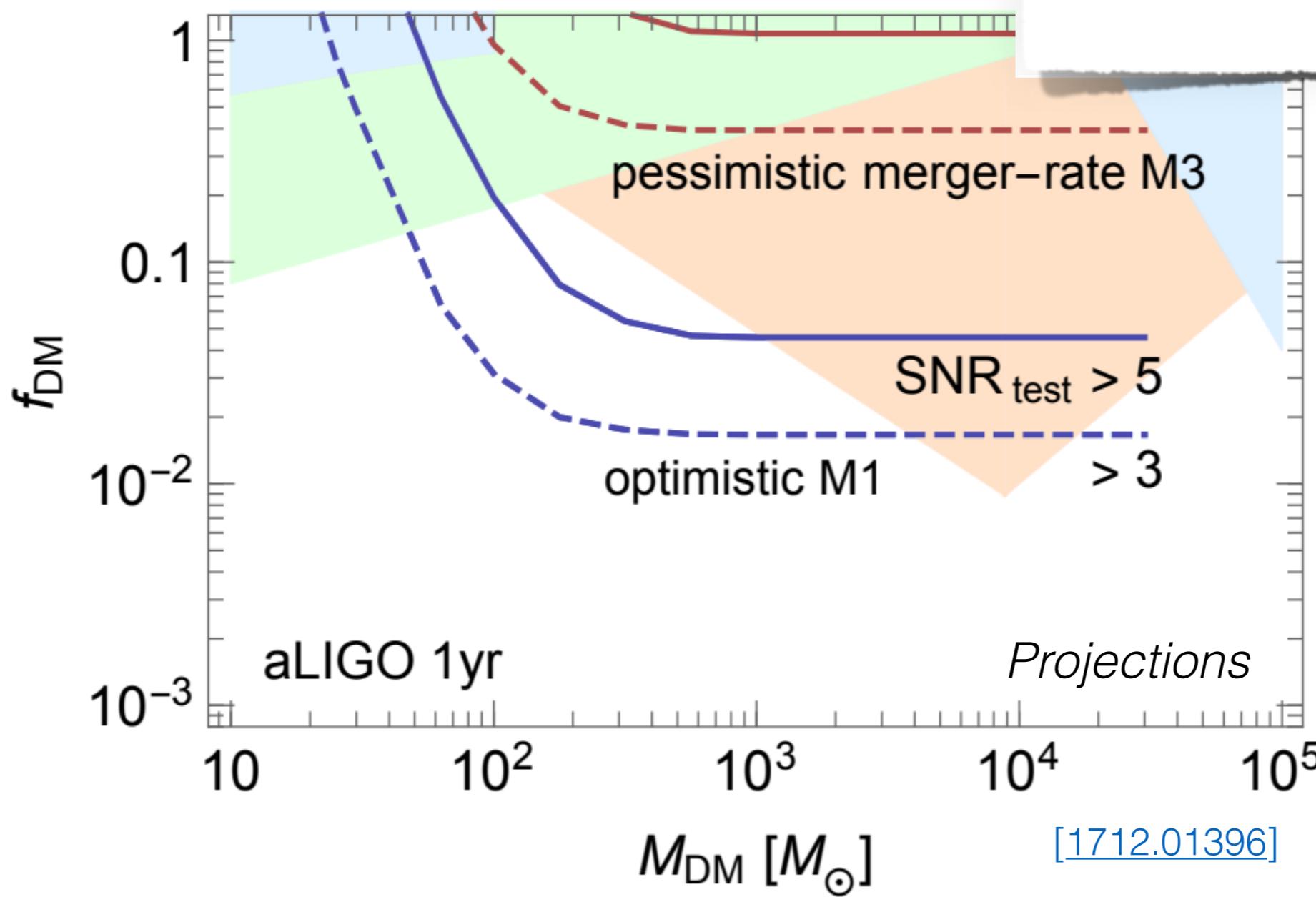
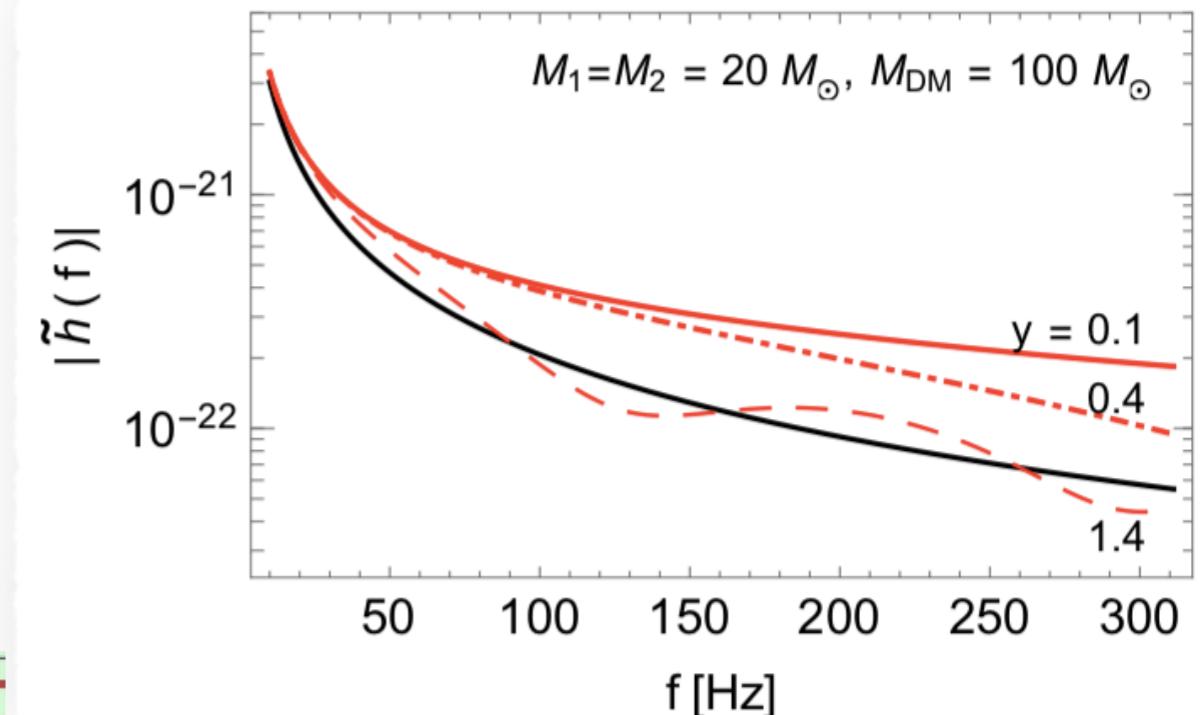
Relative time delay:  $\Delta t_d$

Relative phase:  $\Delta\phi \sim f\Delta t_d$

Frequency dependent magnification

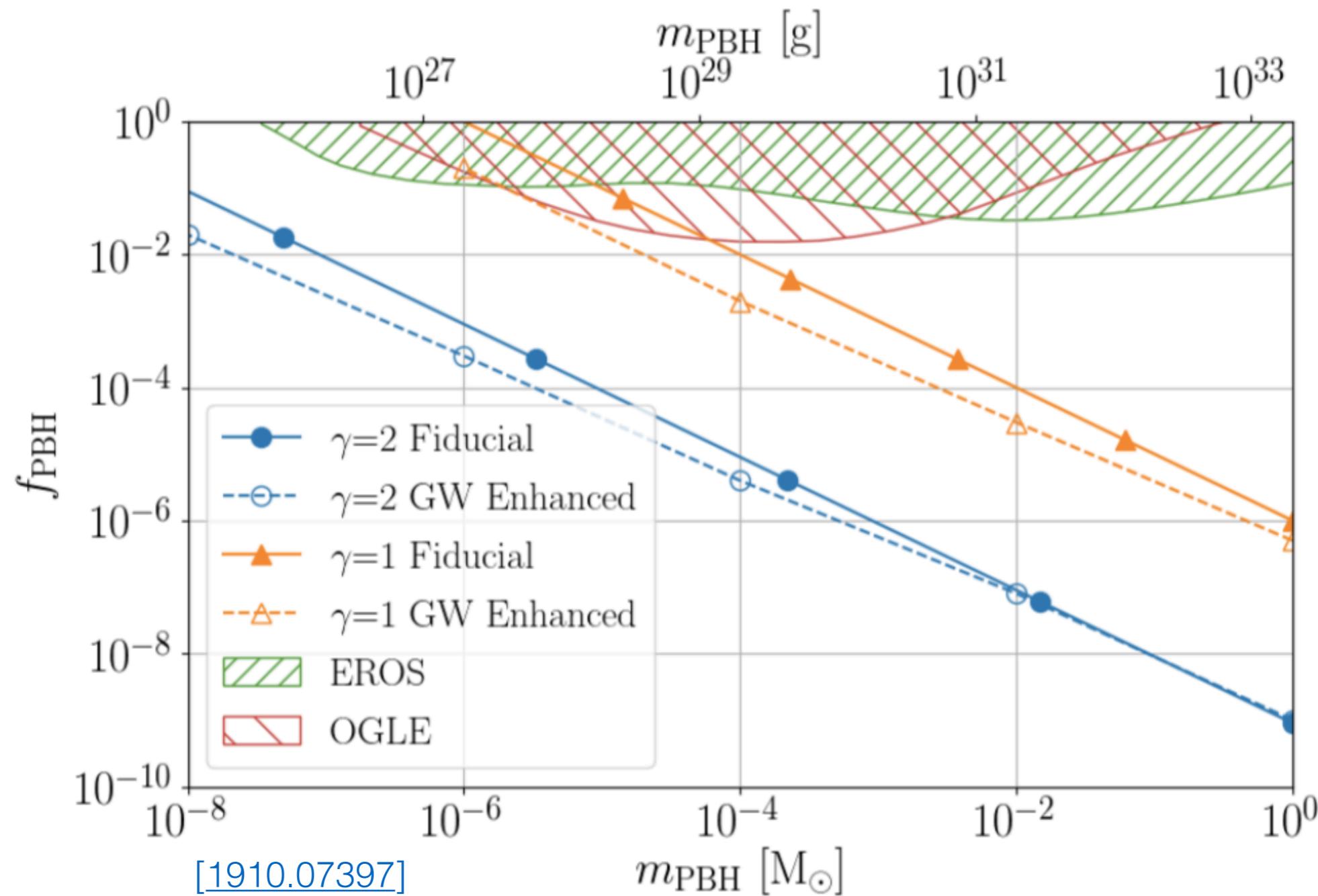
# GW Microlensing

Could appear as unusual  
GW lensing ‘fringes’ in waveforms...



# SGWB with LISA

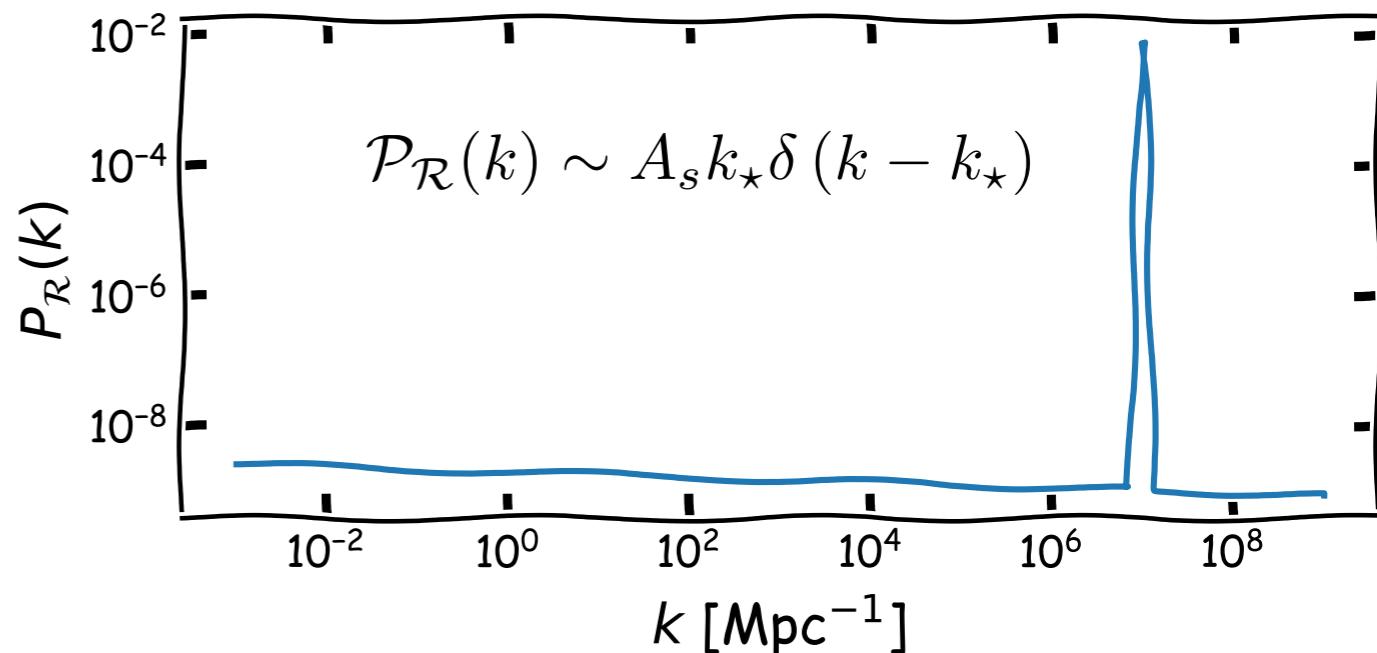
EMRIs: (sub)-stellar mass PBHs in a spike around (e.g.) Sagittarius A\*



[See also [1709.03500](#)]

# GWs from PBH Formation

PBHs may be formed from enhanced primordial scalar perturbations



At second order, these scalar perturbations can source tensor perturbations, leading to stochastic Gravitational waves



Scalar-induced Gravitational Waves (**SIGWs**)

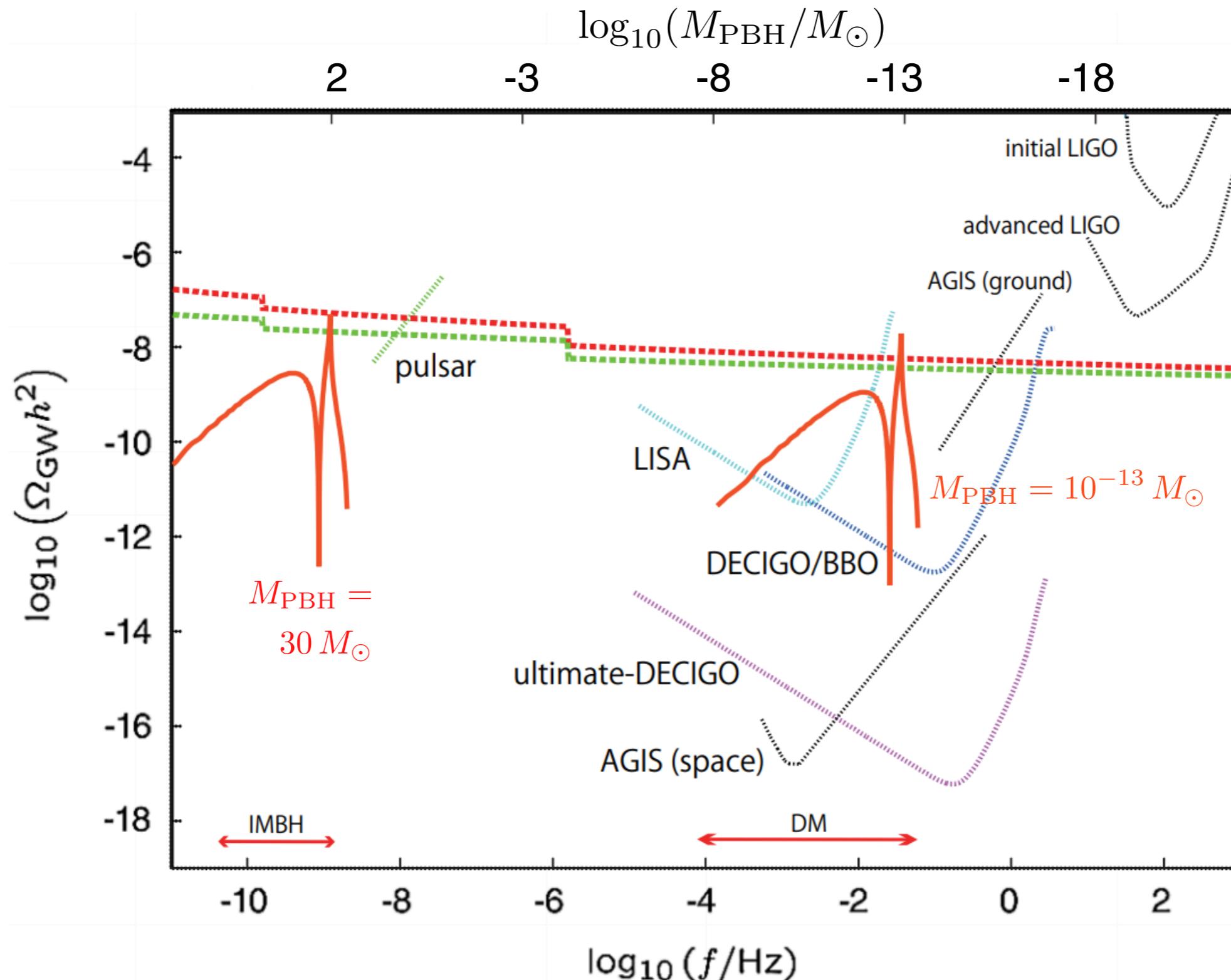
For perturbations on a scale  $k_*$ ,  $M_{\text{PBH}} \simeq 1.4 \times 10^{13} M_\odot \left( \frac{k_*}{\text{Mpc}^{-1}} \right)^{-2}$

The typical GW frequency scales as  $f_{\text{GW}}^{\text{peak}} \sim k_*$ , giving:

$$f_{\text{GW}}^{\text{peak}} = 3 \times 10^{-9} \left( \frac{M_{\text{PBH}}}{M_\odot} \right)^{-1/2} \text{Hz}$$

[[astro-ph/0407611](#), [0812.4339](#), [1012.4697](#)]

# Scalar-induced GWs



[More recently - [1810.11000](#), [1810.12218](#), [1906.11549](#)]

# NANOGrav Constraints

95% upper limit for  $f_{pbh}$

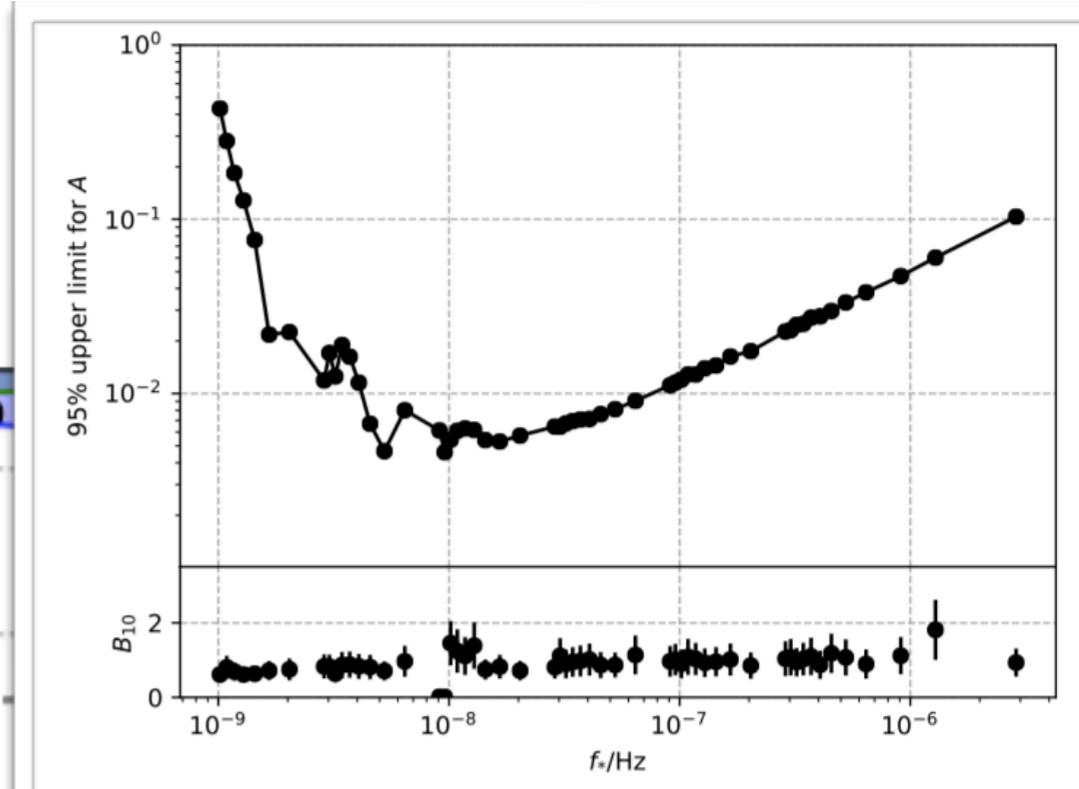
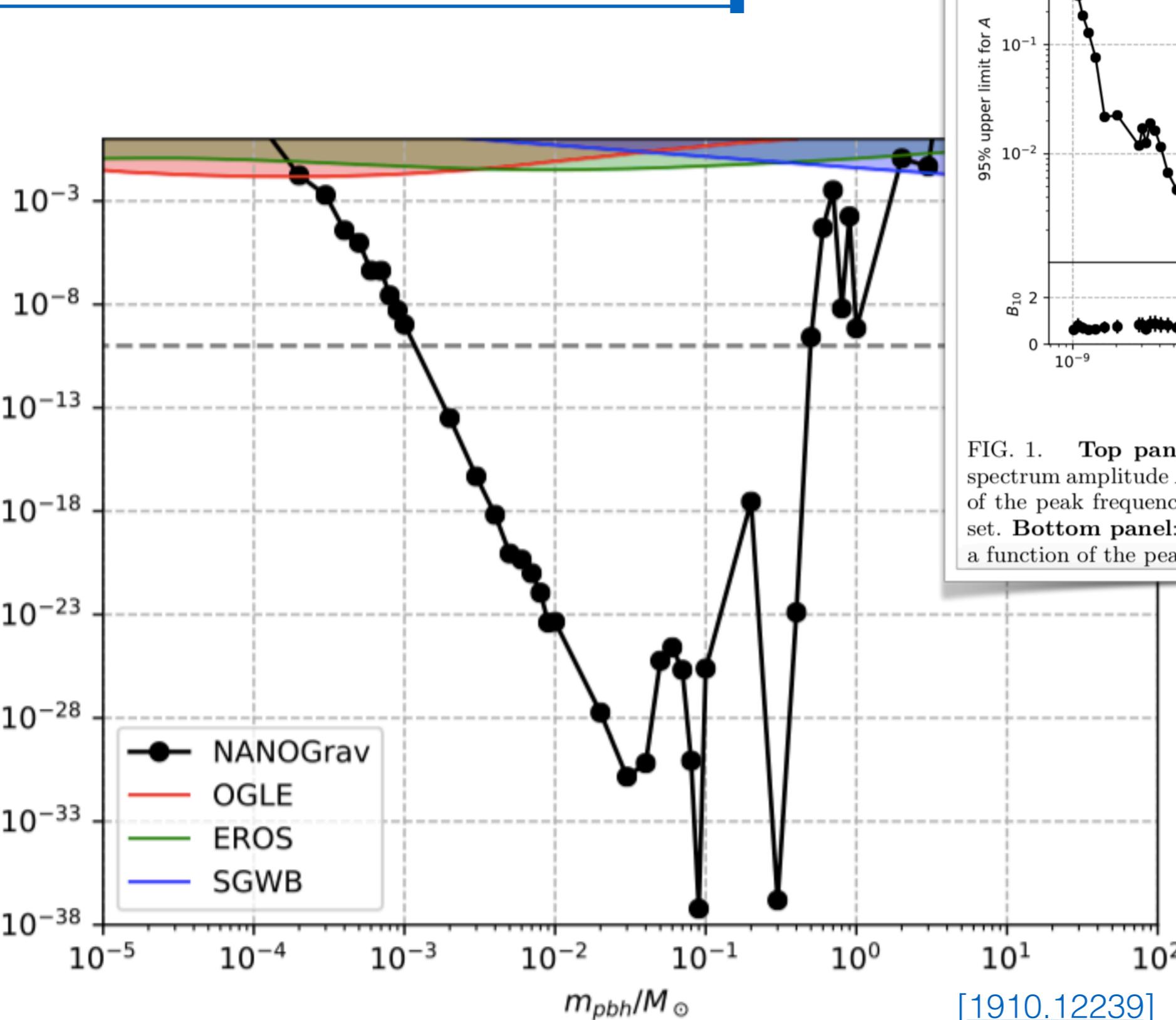
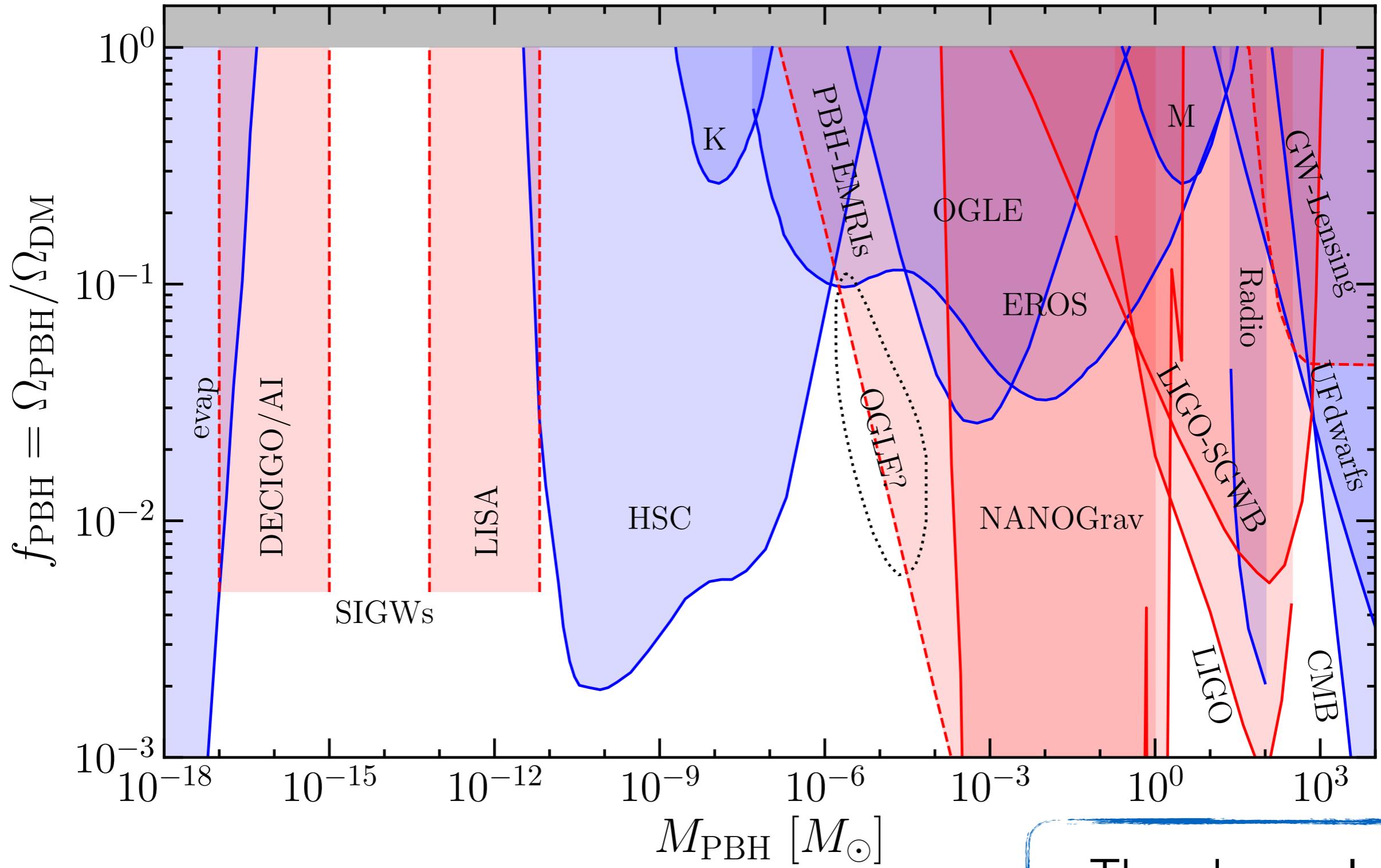


FIG. 1. **Top panel:** the 95% upper limits on the power spectrum amplitude  $A$  of curvature perturbation as a function of the peak frequency  $f_*$  from the NANOGrav 11-year data set. **Bottom panel:** the corresponding Bayes factors  $B_{10}$  as a function of the peak frequency  $f_*$ .

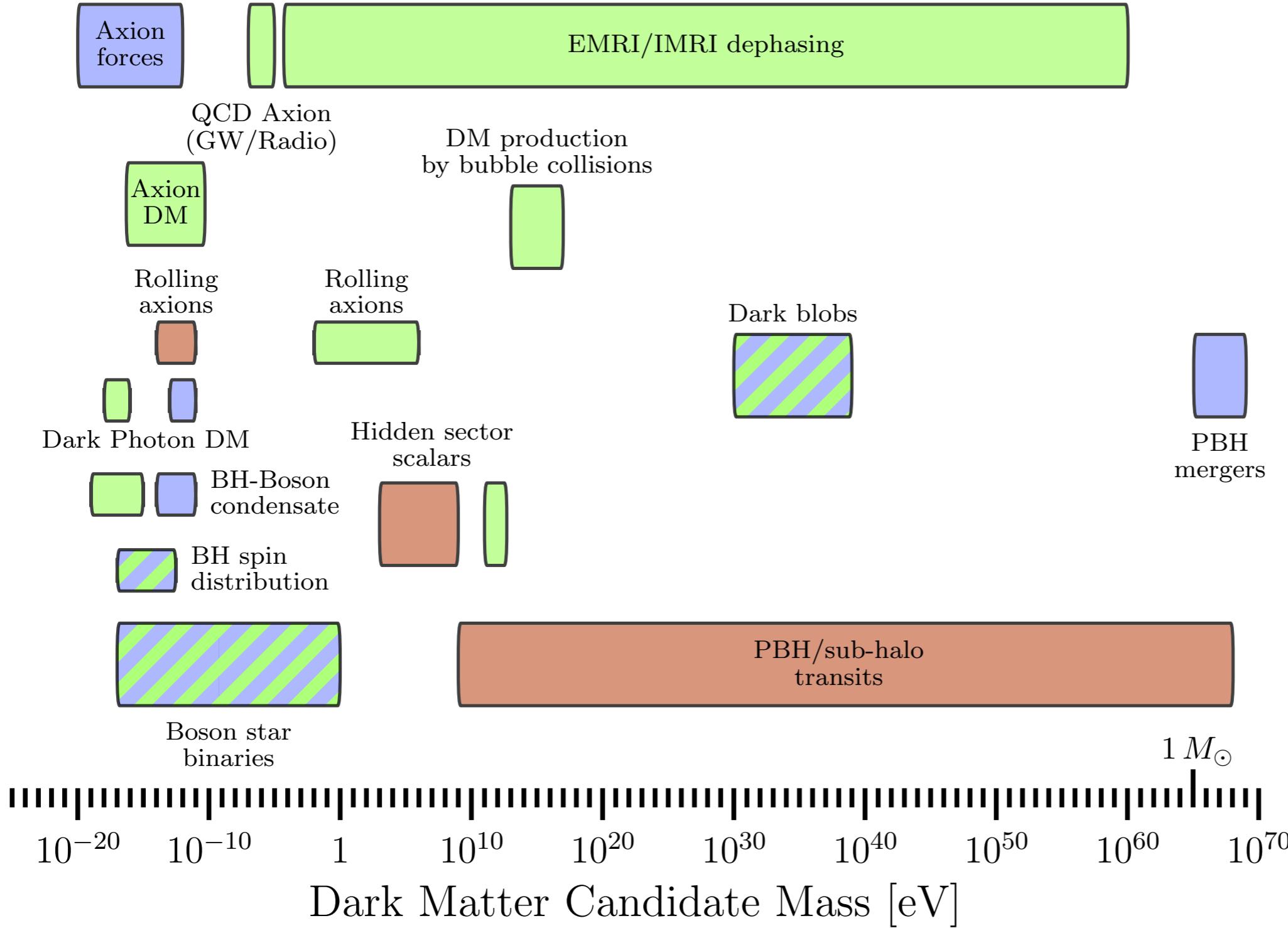
[See also Dan Stinebring's talk on NANOGrav, and [1801.01837](https://arxiv.org/abs/1801.01837)]



[See e.g. [1607.06077](https://arxiv.org/abs/1607.06077), [1801.00808](https://arxiv.org/abs/1801.00808), [1806.05195](https://arxiv.org/abs/1806.05195)]

# Backup Slides

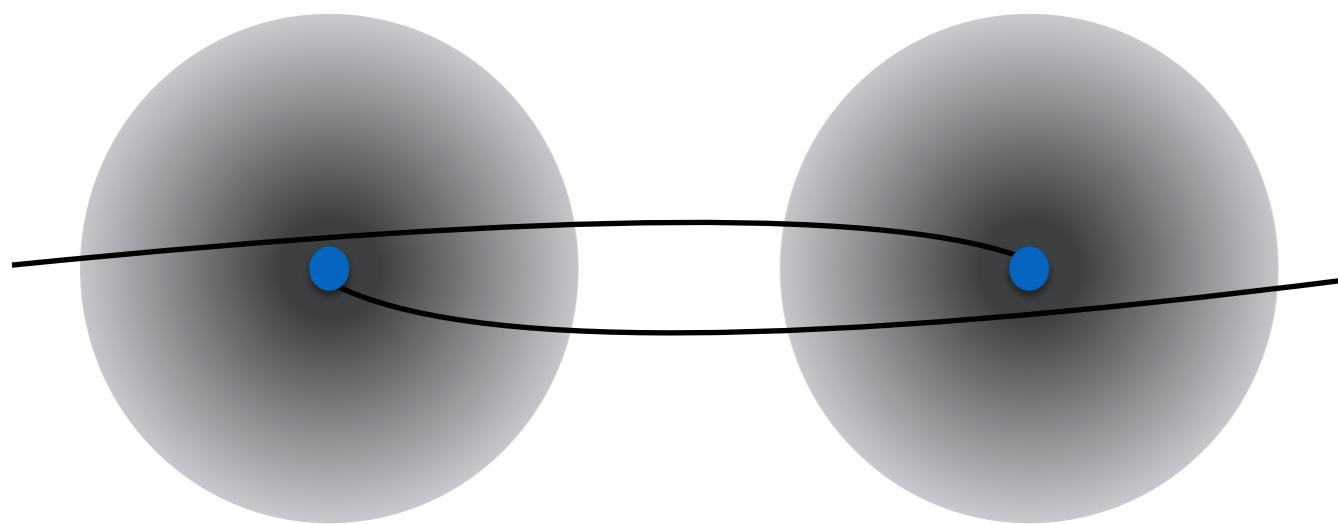
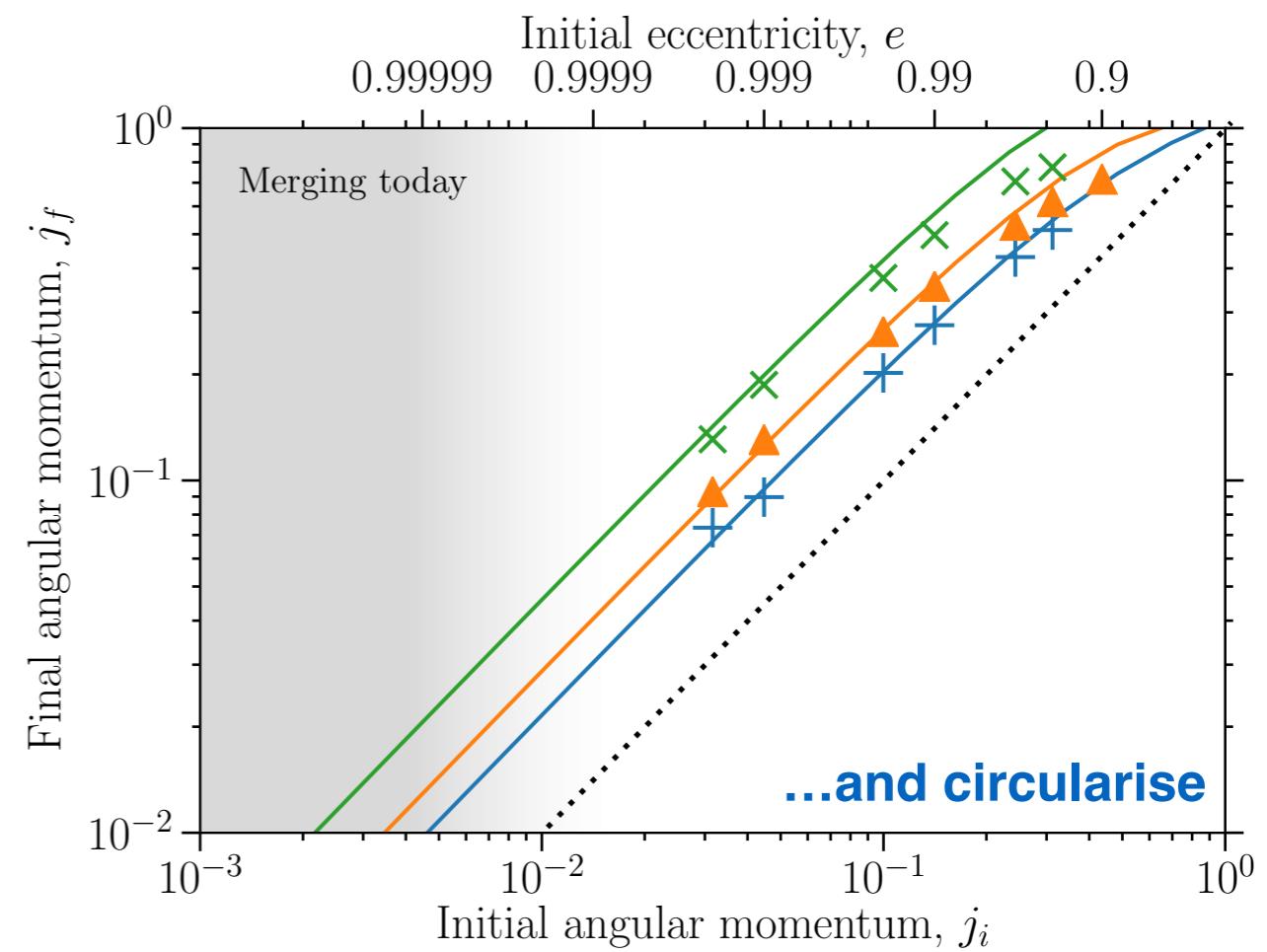
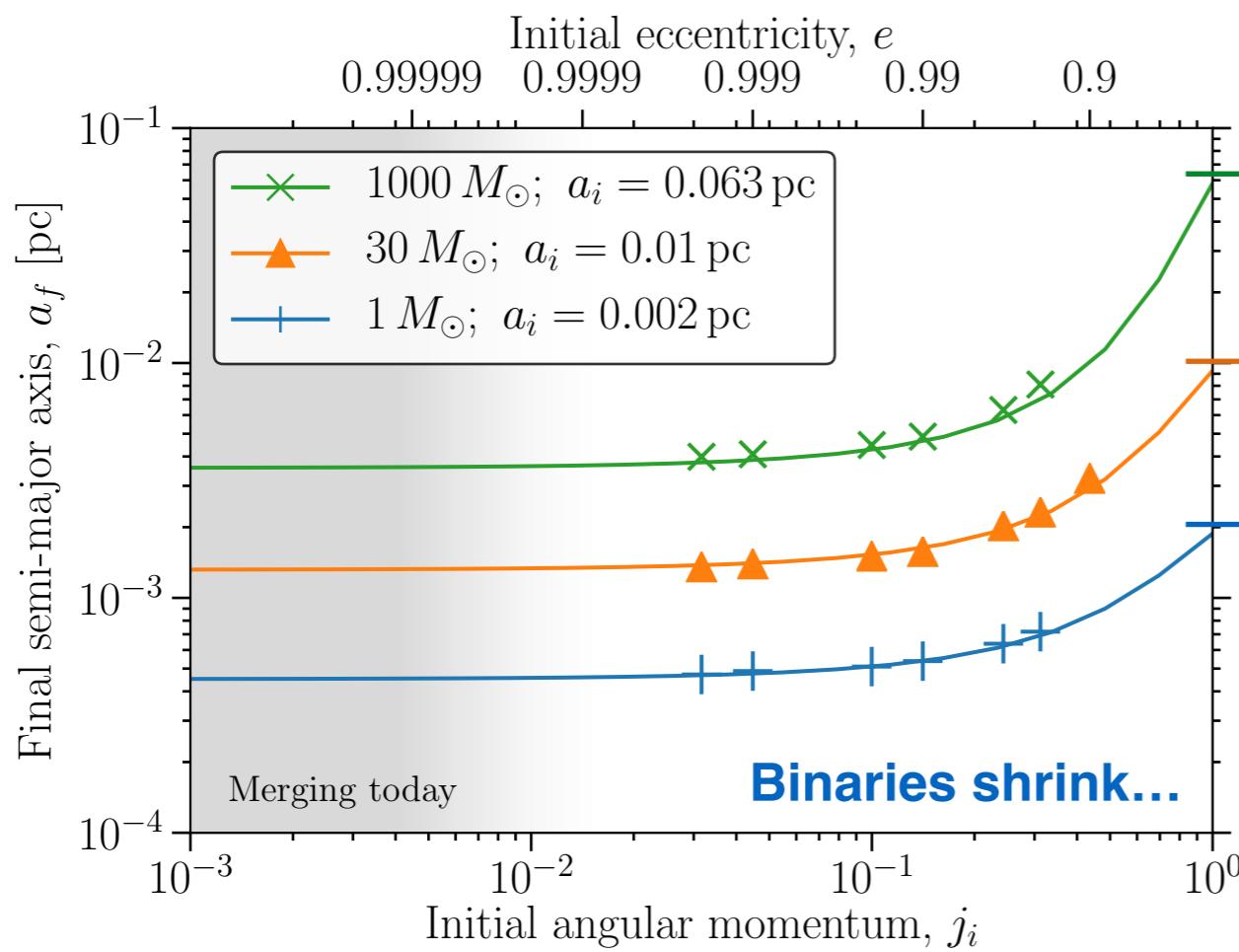
# GW probes of DM



[1907.10610]

# N-body Simulation Results

$$j = \sqrt{1 - e^2}$$



Conservation of angular momentum

$$L_i^{\text{PBH}} = L_f^{\text{PBH}}$$

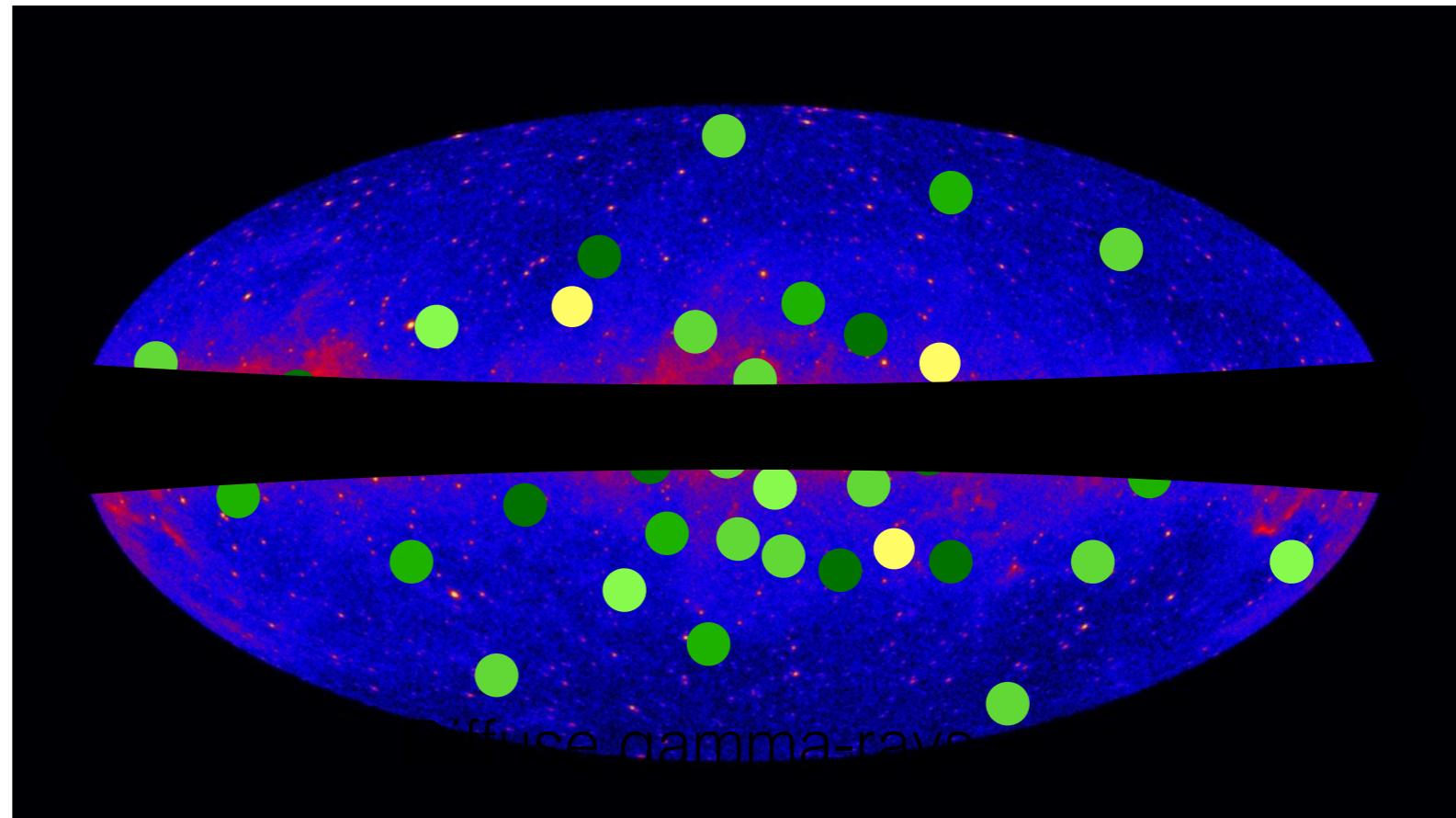
$$L_i^{\text{halo}} = L_f^{\text{halo}}$$

fixes  $j_f = j_i \sqrt{a_i/a_f}$

# Gamma-ray constraints

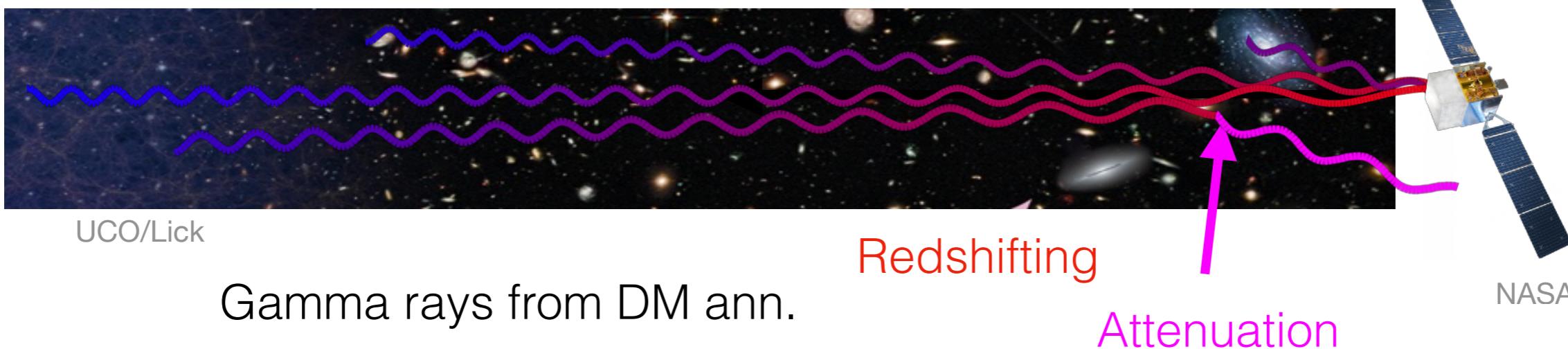
[Credit: Adam Coogan]

## Point Sources in the Milky Way:



Fermi/NASA

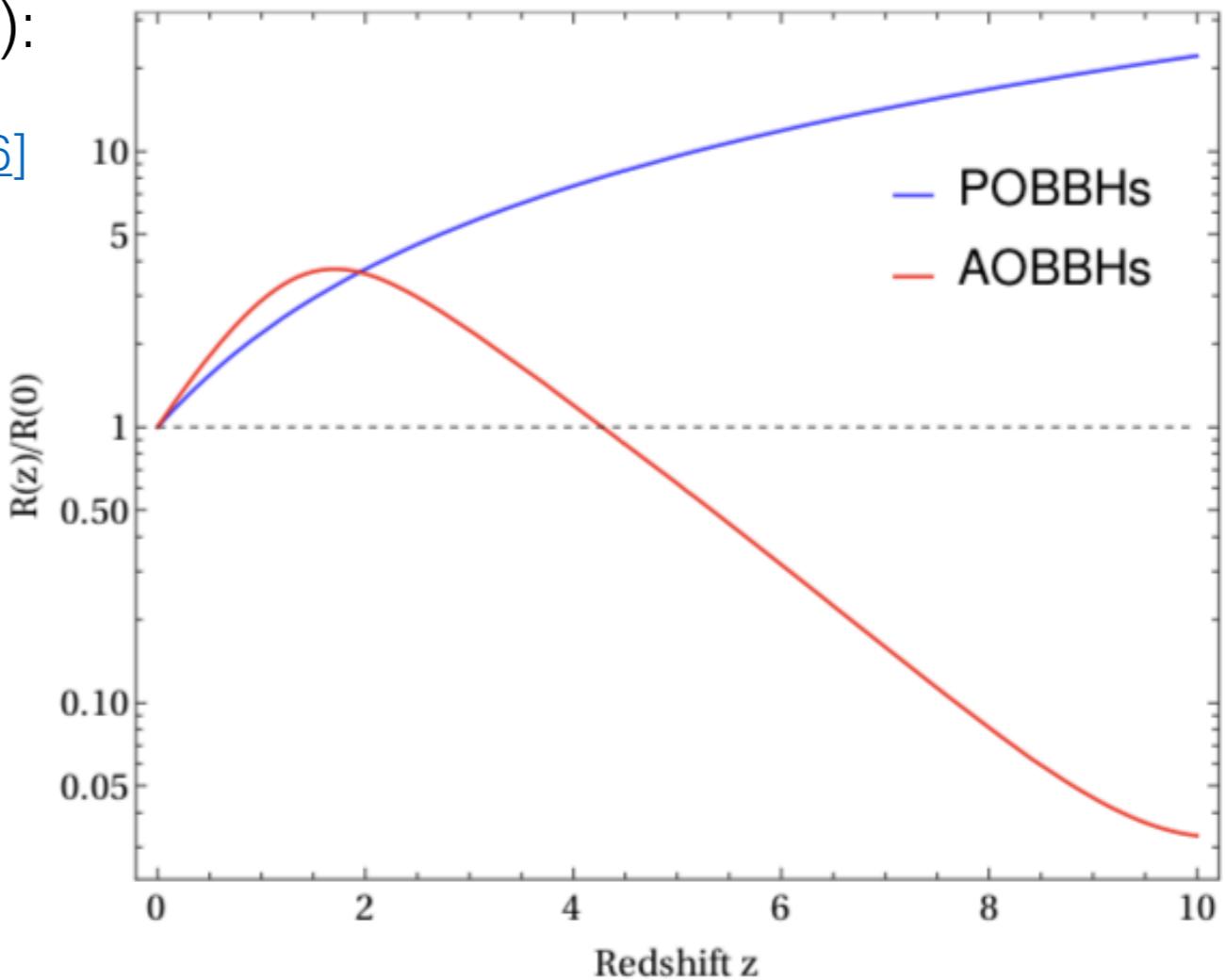
## Diffuse (cosmological) background:



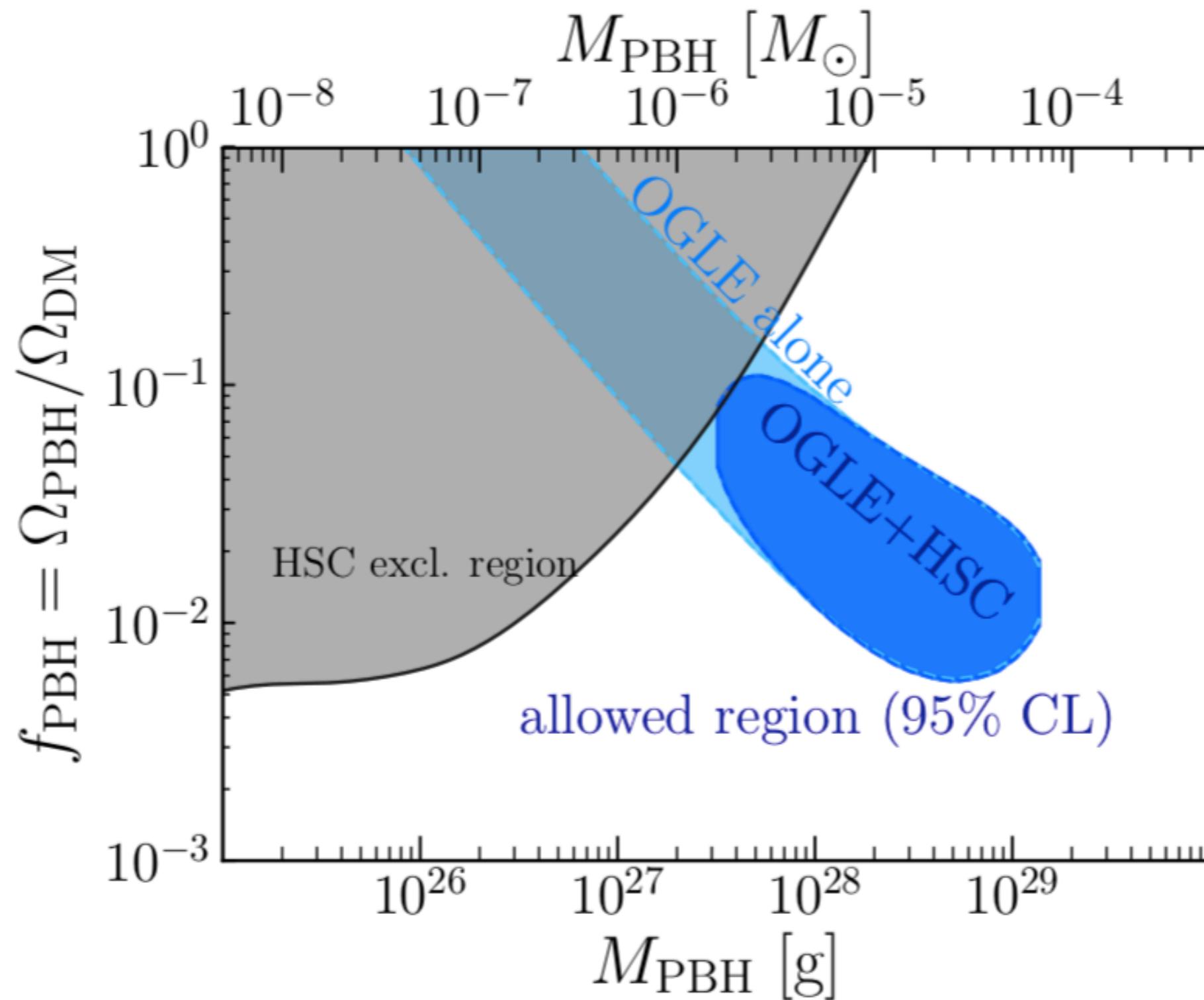
# Astrophysical vs Primordial

- Spin measurements [\[1905.13019\]](#)
- Population study of X-ray and Radio sources [\[1612.00457, 1812.07967\]](#)
- Sub-solar mass black holes [\[1808.04771, 1808.04772\]](#)
- High redshift ( $z > 40$ ) black holes [\[1708.07380\]](#)
- Merger rate (over cosmic time):

[\[1904.02396\]](#)



# OGLE ‘hint’



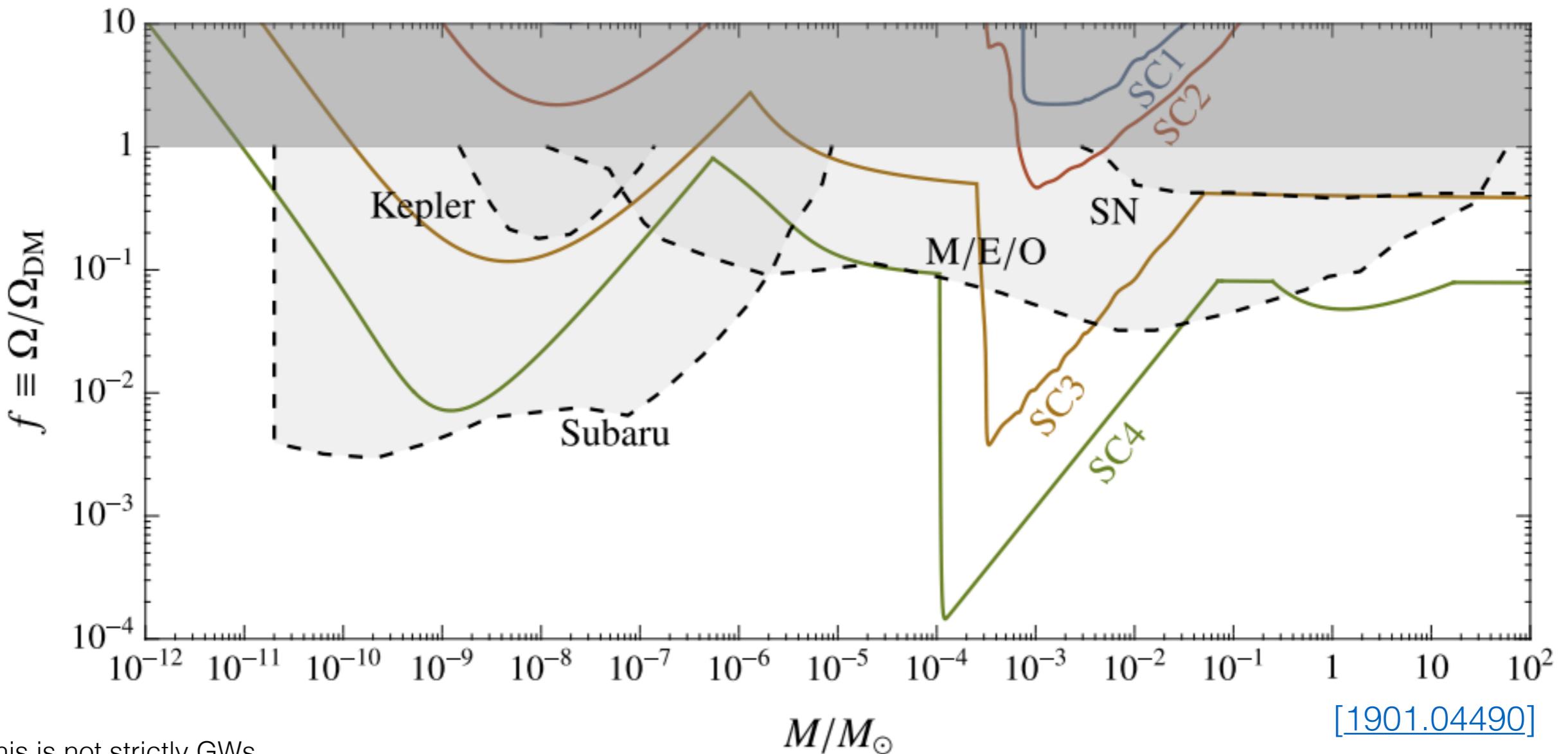
6 ultra-short timescale events

[OGLE - [1901.07120](#)]

# Perturbing PTAs

[[astro-ph/0702586](#), [astro-ph/0702546](#)]

	$T$ [yr]	$t_{\text{RMS}}$ [ns]	$\Delta t$ [wk]	$d$ [kpc]	$N_P$
SC2 Current	5 – 30	50 – $10^4$	1 – 4	0.5 – 5	73
SC3 SKA	20	50	2	5	200
SC4 Optimistic	20	25	1	10	1000

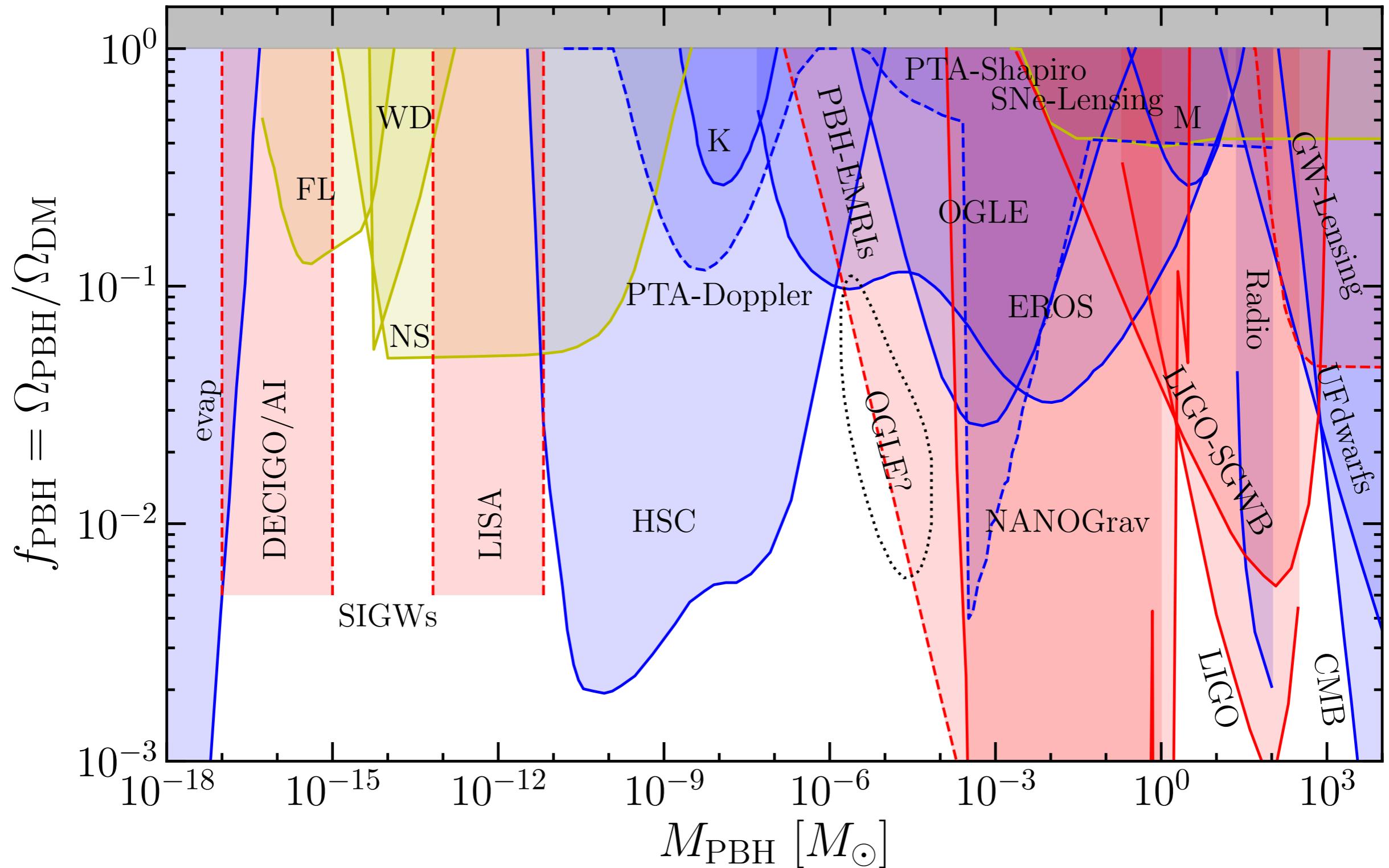


This is not strictly GWs...

[[1901.04490](#)]

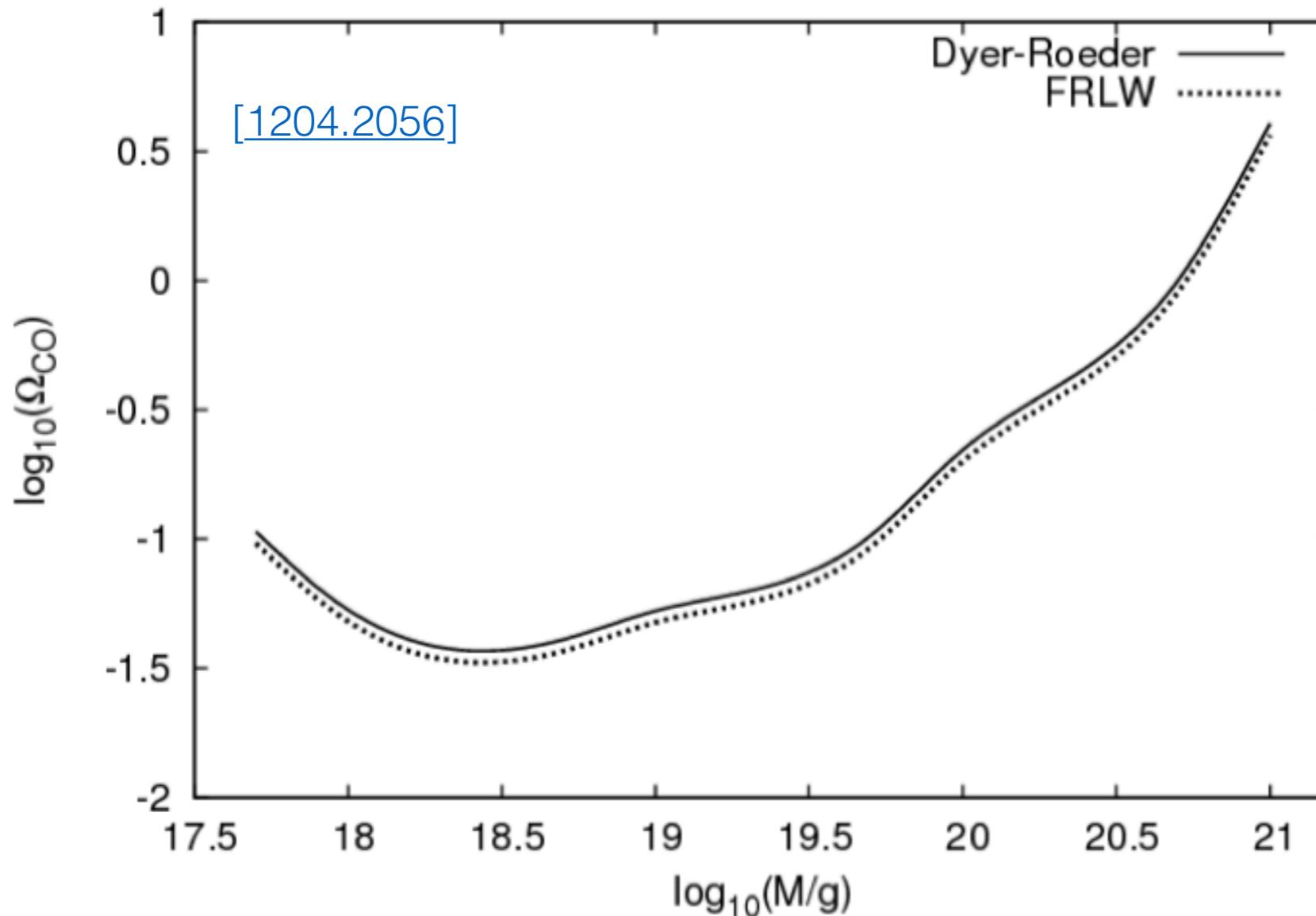
# PBH Bounds

[Code online: [github.com/bradkav/PBHbounds](https://github.com/bradkav/PBHbounds)]



# Femto-lensing

'Femto-lensing' of gamma-ray bursts



This turns out not to be valid - because GRBs are not 'point-like' in the lens plane, geometric optics no longer valid.

[1807.11495]

GRBs generally too extended to be useful for FL.

[1906.05950]

# Broad Mass Functions

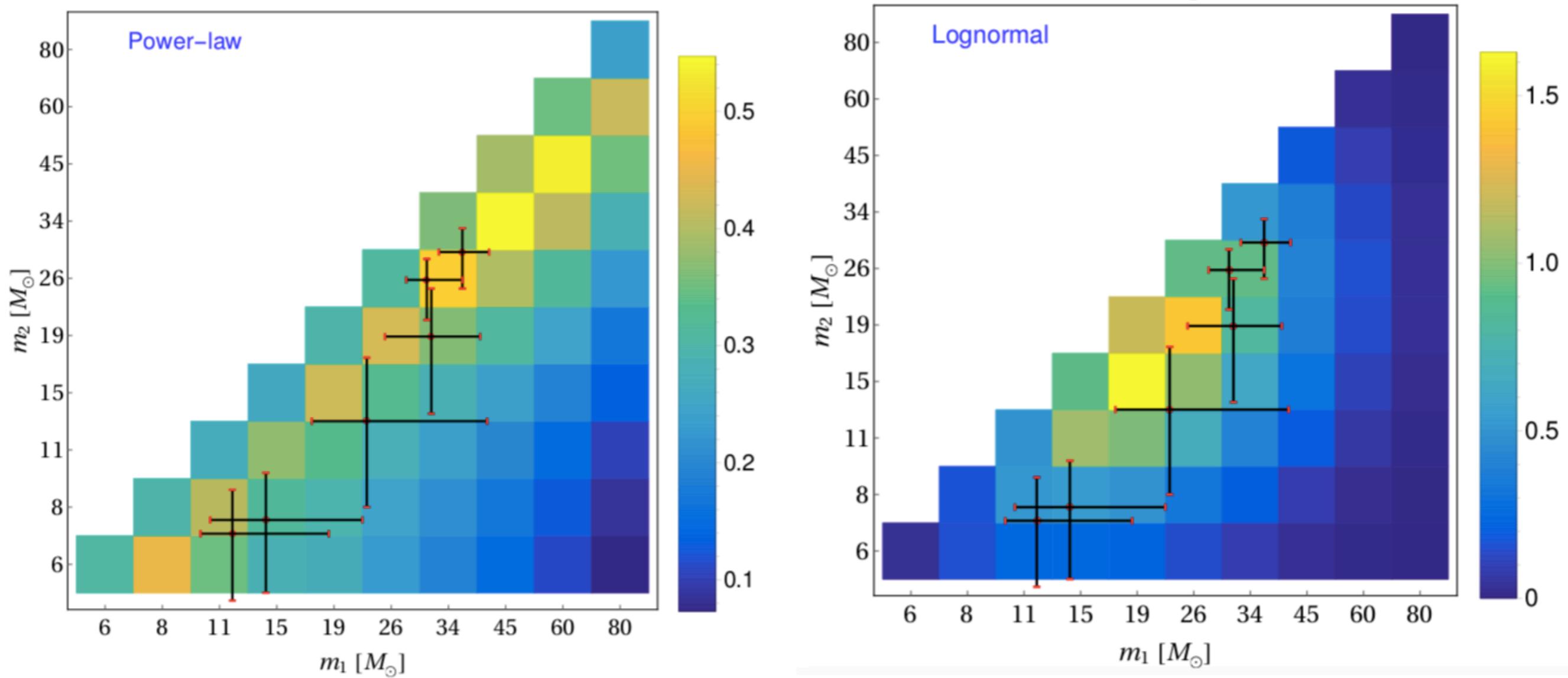
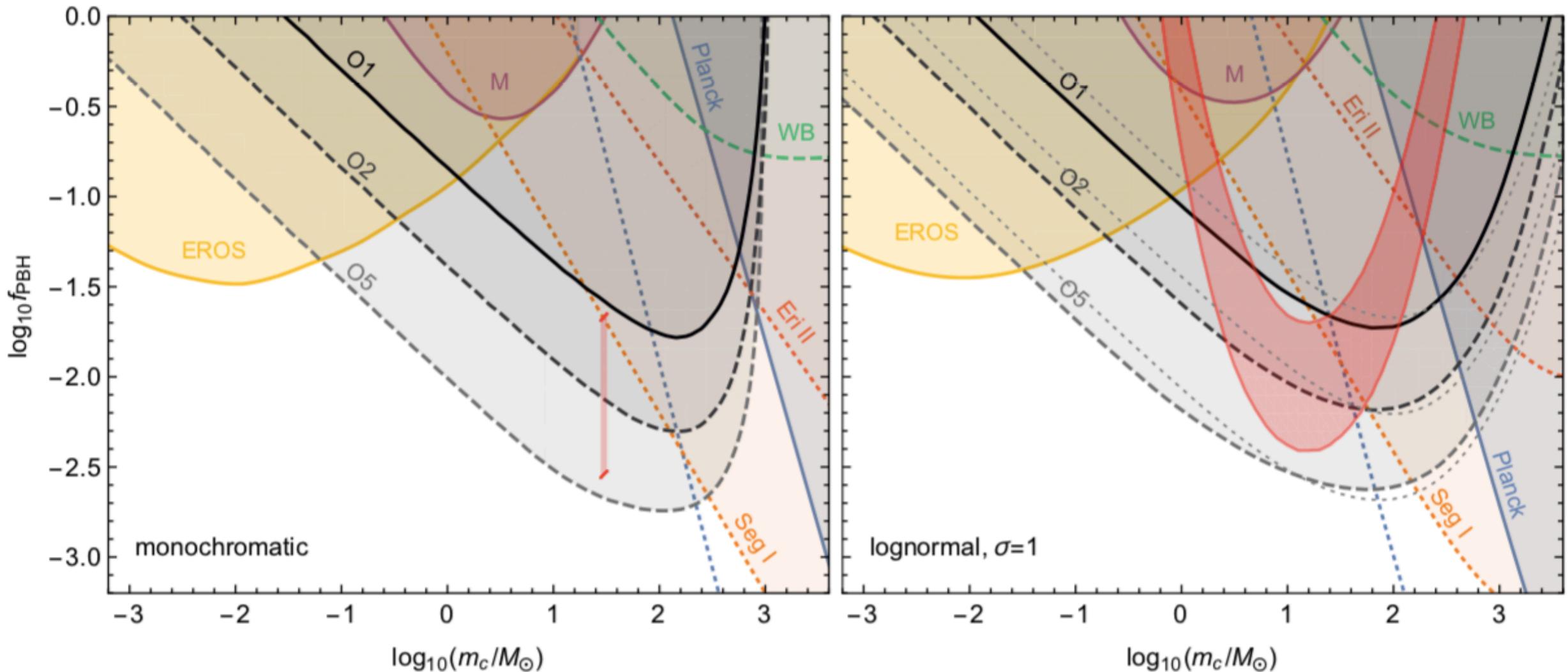


FIG. 4. The 2D distributions for  $\Lambda$  [see Eq. (40)], along with the 6 events detected by LIGO/VIRGO. The crosses indicate error bars for each event. The top and bottom panels correspond to the *power-law* PDF ( $M = 5M_\odot$  and  $\alpha = 1.6$ ) with  $f_{\text{pbh}} = 4.3 \times 10^{-3}$  and *lognormal* PDF ( $m_c = 15M_\odot$  and  $\sigma = 0.6$ ) with  $f_{\text{pbh}} = 3.7 \times 10^{-3}$ , respectively.

[1801.10327]

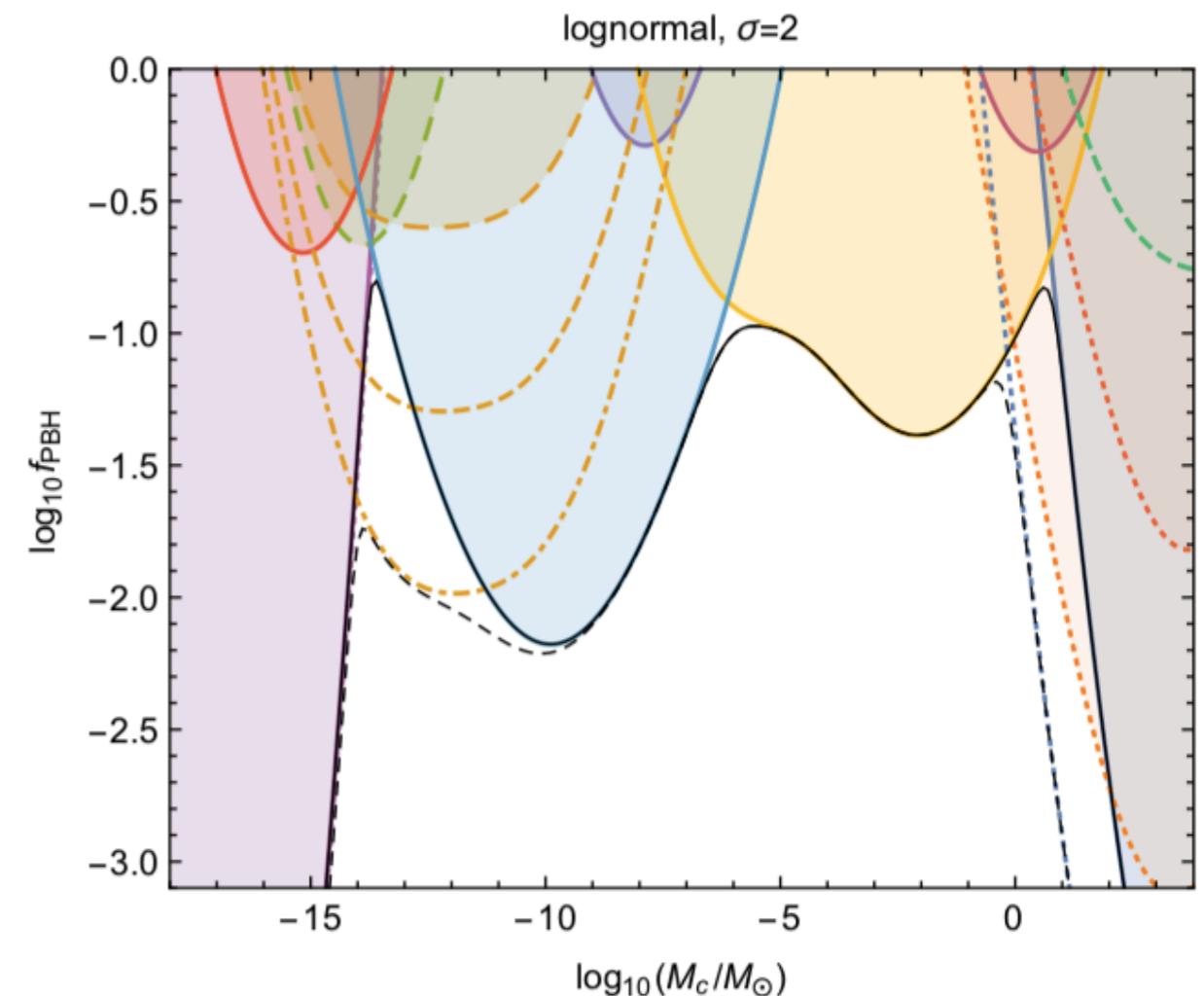
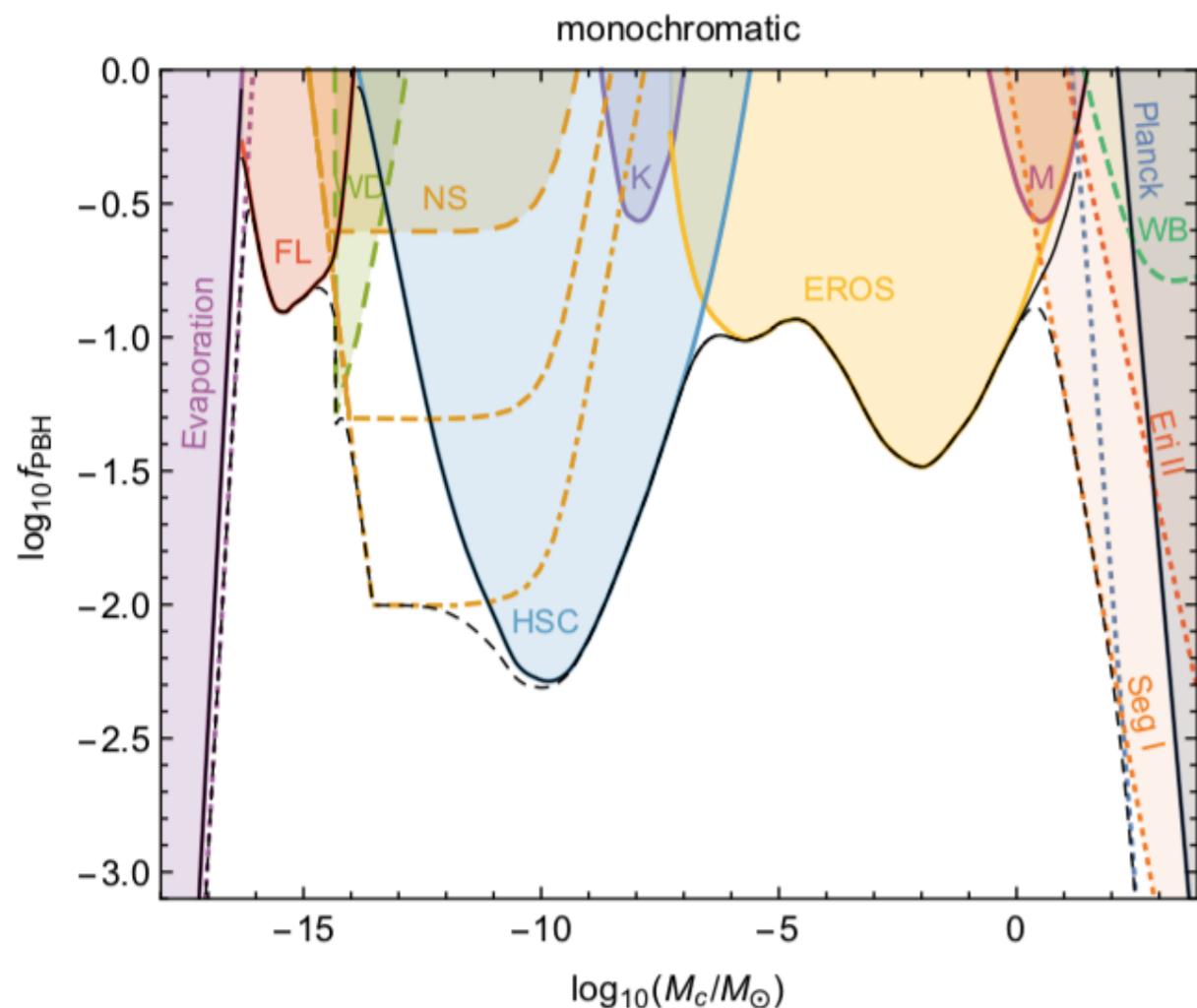
# Broad Mass Functions

Projections for the SGWB from PBH-PBH mergers



[1707.01480]

# Broad Mass Functions



[Carr et al, 2017 - [1705.05567](#)]

[See also [1709.07467](#), [1801.00808](#)]